

State of Hawaii  
Department of Public Safety  
Corrections Program Services Division  
Substance Abuse Services

## **Addendum A**

**March 8, 2012**

**To**

**Request for Proposals**

**RFP No.: PSD 12-CPS/SA-24**  
CORRECTIONAL WORK FURLOUGH AND  
HALFWAY HOUSE  
PROGRAM FOR WOMEN INMATES ON OAHU  
Date Issued: February 21, 2012

March 8, 2012

**ADDENDUM NO. A**

To

**REQUEST FOR PROPOSALS**  
CORRECTIONAL WORK FURLOUGH AND  
HALFWAY HOUSE  
PROGRAM FOR WOMEN INMATES ON OAHU  
**RFP No.: PSD 12-CPS/SA-24**

The Department of Public Safety, Corrections Program Services Division, Substance Abuse Services Office is issuing this addendum to PSD 12-CPS/SA-24, CORRECTIONAL WORK FURLOUGH AND HALFWAY HOUSE PROGRAM FOR WOMEN INMATES ON OAHU for the purposes of:

- Responding to questions that arose at the orientation meeting of February 29, 2012 and written questions subsequently submitted in accordance with Section 1-V, of the RFP.
- Amending the RFP.
- Final Revised Proposals

The proposal submittal deadline:

- is amended to <new date>.
- is not amended.
- for Final Revised Proposals is <date>.

Attached is (are):

- A summary of the questions raised and responses for purposes of clarification of the RFP requirements.
- Amendments to the RFP.
- Details of the request for final revised proposals.

If you have any questions, contact:

Marc S. Yamamoto  
Telephone: (808) 587-1215  
Contact e-mail address: [marc.s.yamamoto@hawaii.gov](mailto:marc.s.yamamoto@hawaii.gov)  
Contact address: Department of Public Safety  
ASO-PC  
919 Ala Moana Boulevard, Room 413  
Honolulu, Hawaii 96814

Responses to Question Raised by Applicants  
For RFP No.: PSD 12-CPS/SA-24, CORRECTIONAL WORK FURLOUGH AND  
HALFWAY HOUSE PROGRAM FOR WOMEN INMATES ON OAHU

Question No. 1: The second page of the RFP announcement, paragraph 2, last sentence states: "Services in the area of cognitive skills training, substance abuse, domestic violence, physical and sexual abuse will be required for all the participants in this community residential setting." Shouldn't this be on an "as needed" basis?

*Response No. 1: Yes.*

Question No. 2: Does the Certificate of Vendor Compliance obtained online through the HCE website take the place of the Tax Clearance Certificate, Certificate of Good Standing and Certificate of Compliance? (reference pages 1-5 Section D, and 1-6, Section F, of RFP)

*Response No. 2: Yes, the "Certificate of Vendor Compliance" from the Hawaii Compliance Express takes the place of the individual certificates from the Department of Taxation and IRS, the Department of Commerce and Consumer Affairs and the Department of Labor and Industrial Relations.*

State of Hawaii  
Department of Public Safety  
Corrections Program Services Division  
Substance Abuse

## **Request for Proposals**

### **RFP No.: PSD 12-CPS/SA-24 CORRECTIONAL WORK FURLOUGH AND HALFWAY HOUSE PROGRAM FOR WOMEN INMATES ON OAHU**

Date Issued: February 21, 2012

Note: If this RFP was downloaded from the State Procurement Office RFP Website each applicant must provide contact information to the RFP contact person for this RFP to be notified of any changes. For your convenience, you may download the [RFP Interest form](#), complete and e-mail or mail to the RFP contact person. The State shall not be responsible for any missing addenda, attachments or other information regarding the RFP if a proposal is submitted from an incomplete RFP.

**February 21, 2012**

**REQUEST FOR PROPOSALS**

**Correctional Work Furlough and Halfway House  
PROGRAM FOR WOMEN INMATES ON OAHU  
RFP No. PSD 12-CPS/SA-24**

The Department of Public Safety, Corrections Program Services Division, is requesting proposals from qualified applicants to provide a Correctional Work Furlough and Halfway House Program for Women Inmates on Oahu. The initial contract term will be from **July 1, 2012 through June 30, 2014**, with the option to extend for one additional twelve month period or portions thereof, subject to the availability of funds, satisfactory performance of the provider and prior written mutual consent. Multiple contracts may be awarded under this request for proposals.

The women offenders who will be referred to this program will be those who are approaching release from incarceration and transitioning back into the community. The Service Provider shall provide counseling services, job placement services and 24-hour supervision. Services in the area of cognitive skills training, substance abuse, domestic violence, physical and sexual abuse will be required for all the participants in this community residential setting.

Proposals shall be mailed, postmarked by the United States Postal Service on or before **March 28, 2012**, and received no later than 10 calendar days from the submittal deadline. Hand delivered proposals shall be received no later than 4:30 p.m., Hawaii Standard Time (HST), on **March 28, 2012**, at the drop-off sites designated on the Proposal Mail-in and Delivery Information Sheet. Proposals postmarked or hand delivered after the submittal deadline shall be considered late and rejected. There are no exceptions to this requirement.

The Corrections Program Services Division will conduct a non-mandatory orientation on **February 29, 2012 from 10:00 a.m. to 11:00 a.m.**, HST, at 919 Ala Moana Boulevard, Room 400, Honolulu, Hawaii 96814. All prospective applicants are encouraged to attend the orientation.

The deadline for submission of written questions is 4:30 p.m. HST on **March 7, 2012**. All written questions will receive a written response from the State on or about **March 14, 2012**.

Inquiries regarding this RFP should be in writing to the RFP contact person, Mr. Marc Yamamoto at 919 Ala Moana Boulevard, Room 413, Honolulu, Hawaii 96814, fax: (808) 587-1244 or e-mail at [marc.s.yamamoto@hawaii.gov](mailto:marc.s.yamamoto@hawaii.gov).

## PROPOSAL MAIL-IN AND DELIVERY INFORMATION SHEET

**NUMBER OF COPIES TO BE SUBMITTED: 4 (Original + 3 copies)**

ALL MAIL-INS SHALL BE POSTMARKED BY THE UNITED STATES POSTAL SERVICE (USPS) NO LATER THAN **MARCH 28, 2012** and received by the state purchasing agency no later than **10 days from the submittal deadline.**

### All Mail-ins

Department of Public Safety  
Administrative Services Office—  
Purchasing & Contracts  
919 Ala Moana Boulevard, Room 413  
Honolulu, Hawaii 96814

### DOH RFP COORDINATOR

Marc S. Yamamoto  
telephone: (808) 587-1215  
facsimile: (808) 587-1244  
e-mail:  
marc.s.yamamoto@hawaii.gov

ALL HAND DELIVERIES SHALL BE ACCEPTED AT THE FOLLOWING SITES UNTIL **4:30 P.M., Hawaii Standard Time (HST), MARCH 28, 2012.** Deliveries by private mail services such as FEDEX shall be considered hand deliveries. Hand deliveries shall not be accepted if received after 4:30 p.m., **MARCH 28, 2012.**

### Drop-off Sites

Department of Public Safety  
Administrative Services Office—  
Purchasing & Contracts  
919 Ala Moana Boulevard, Room 413  
Honolulu, Hawaii 96814

# RFP Table of Contents

## Section 1 Administrative Overview

I.	Procurement Timetable .....	1-1
II.	Website Reference .....	1-2
III.	Authority .....	1-2
IV.	RFP Organization .....	1-3
V.	Contracting Office .....	1-3
VI.	Orientation .....	1-3
VII.	Submission of Questions.....	1-4
VIII.	Submission of Proposals .....	1-4
IX.	Discussions with Applicants .....	1-6
X.	Opening of Proposals .....	1-7
XI.	Additional Materials and Documentation .....	1-7
XII.	RFP Amendments.....	1-7
XIII.	Final Revised Proposals .....	1-7
XIV.	Cancellation of Request for Proposals.....	1-7
XV.	Costs for Proposal Preparation .....	1-8
XVI.	Provider Participation in Planning .....	1-8
XVII.	Rejection of Proposals .....	1-8
XVIII.	Notice of Award .....	1-8
XIX.	Protests.....	1-9
XX.	Availability of Funds .....	1-9
XXI.	General and Special Conditions of the Contract.....	1-10
XXII.	Cost Principles .....	1-10

## Section 2 - Service Specifications

I.	Introduction	
	A. Overview, Purpose or Need .....	2-1
	B. Planning activities conducted in preparation for this RFP.....	2-1
	C. Description of the Goals of the Service.....	2-1
	D. Description of the Target Population to be Served .....	2-1
	E. Geographic Coverage of Service .....	2-1
	F. Probable Funding Amounts, Source, and Period of Availability .....	2-1
II.	General Requirements .....	2-2
	A. Specific Qualifications or Requirements.....	2-2
	B. Secondary Purchaser Participation .....	2-2
	C. Multiple or Alternate Proposals .....	2-2
	D. Single or Multiple Contracts to be Awarded .....	2-2
	E. Single or Multi-Term Contracts to be Awarded.....	2-3
	F. RFP Contact Person .....	2-3

- III. Scope of Work .....2-3
  - A. Service Activities .....2-3
  - B. Management Requirements.....2-3
  - C. Facilities.....2-4
- IV. Compensation and Method of Payment .....2-5

**Section 3 - Proposal Application Instructions**

- General Instructions for Completing Applications.....3-1
- I. Program Overview .....3-2
- II. Experience and Capability .....3-2
  - A. Necessary Skills .....3-2
  - B. Experience.....3-2
  - C. Quality Assurance and Evaluation.....3-2
  - D. Coordination of Services .....3-2
  - E. Facilities .....3-2
- III. Project Organization and Staffing .....3-2
  - A. Staffing .....3-3
  - B. Project Organization .....3-3
- IV. Service Delivery .....3-3
- V. Financial.....3-4
  - A. Pricing Structure .....3-4
  - B. Other Financial Related Materials .....3-4
- VI. Other .....3-5
  - A. Litigation .....3-5

**Section 4 – Proposal Evaluation**

- I. Introduction.....4-1
- II. Evaluation Process .....4-1
- III. Evaluation Criteria .....4-2
  - A. Phase 1 – Evaluation of Proposal Requirements .....4-2
  - B. Phase 2 – Evaluation of Proposal Application .....4-2
  - C. Phase 3 – Recommendation for Award .....4-5

**Section 5 – Attachments**

- Attachment A. Competitive Proposal Application Checklist
- Attachment B. Sample Proposal Table of Contents

# **Section 1**

## **Administrative Overview**

# Section 1

## Administrative Overview

**Applicants are encouraged to read each section of the RFP thoroughly. While sections such as the administrative overview may appear similar among RFPs, state purchasing agencies may add additional information as applicable. It is the responsibility of the applicant to understand the requirements of *each* RFP.**

### I. Procurement Timetable

**Note that the procurement timetable represents the State's best estimated schedule. Contract start dates may be subject to the issuance of a notice to proceed.**

<u>Activity</u>	<u>Scheduled Date</u>
Public notice announcing Request for Proposals (RFP)	Feb 21, 2012
Distribution of RFP	Feb 21, 2012
RFP orientation session	Feb 29, 2012
Closing date for submission of written questions for written responses	Mar. 7, 2012
State purchasing agency's response to applicants' written questions	Mar 14, 2012
Discussions with applicant prior to proposal submittal deadline (optional)	Mar 15 to Mar 22, 2012
Proposal submittal deadline	Mar 28, 2012
Discussions with applicant after proposal submittal deadline (optional)	Mar 29 to Apr 6, 2012
Final revised proposals (optional)	Apr 11, 2012
Proposal evaluation period	Mar 29 to Apr 17, 2012
Provider selection	Apr 24, 2012
Notice of statement of findings and decision	Apr 25, 2012
Contract start date	July 1, 2012

## II. Website Reference

**The State Procurement Office (SPO) website is  
<http://hawaii.gov/spo/>**

<b>For</b>	<b>Click</b>
1 Procurement of Health and Human Services	"Health and Human Services, Chapter 103F, HRS..."
2 RFP website	"Health and Human Services, Ch. 103F..." and "The RFP Website" (located under Quicklinks)
3 Hawaii Administrative Rules (HAR) for Procurement of Health and Human Services	"Statutes and Rules" and "Procurement of Health and Human Services"
4 Forms	"Health and Human Services, Ch. 103F..." and "For Private Providers" and "Forms"
5 Cost Principles	"Health and Human Services, Ch. 103F..." and "For Private Providers" and "Cost Principles"
6 Standard Contract -General Conditions	"Health and Human Services, Ch. 103F..." "For Private Providers" and "Contract Template – General Conditions"
7 Protest Forms/Procedures	"Health and Human Services, Ch. 103F..." and "For Private Providers" and "Protests"

### Non-SPO websites

**(Please note: website addresses may change from time to time. If a link is not active, try the State of Hawaii website at <http://hawaii.gov>)**

<b>For</b>	<b>Go to</b>
8 Tax Clearance Forms (Department of Taxation Website)	<a href="http://hawaii.gov/tax/">http://hawaii.gov/tax/</a> click "Forms"
9 Wages and Labor Law Compliance, Section 103-055, HRS, (Hawaii State Legislature website)	<a href="http://capitol.hawaii.gov/">http://capitol.hawaii.gov/</a> click "Bill Status and Documents" and "Browse the HRS Sections."
10 Department of Commerce and Consumer Affairs, Business Registration	<a href="http://hawaii.gov/dcca">http://hawaii.gov/dcca</a> click "Business Registration"
11 Campaign Spending Commission	<a href="http://hawaii.gov/campaign">http://hawaii.gov/campaign</a>

## III. Authority

This RFP is issued under the provisions of the Hawaii Revised Statutes (HRS) Chapter 103F and its administrative rules. All prospective applicants are charged with presumptive knowledge of all requirements of the cited authorities. Submission of a valid executed proposal by any prospective applicant shall constitute admission of such knowledge on the part of such prospective applicant.

#### **IV. RFP Organization**

This RFP is organized into five sections:

**Section 1, Administrative Overview.** Provides applicants with an overview of the procurement process.

**Section 2, Service Specifications.** Provides applicants with a general description of the tasks to be performed, delineates provider responsibilities, and defines deliverables (as applicable).

**Section 3, Proposal Application Instructions:** Describes the required format and content for the proposal application.

**Section 4, Proposal Evaluation:** Describes how proposals will be evaluated by the state purchasing agency.

**Section 5, Attachments:** Provides applicants with information and forms necessary to complete the application.

#### **V. Contracting Office**

The Contracting Office is responsible for overseeing the contract(s) resulting from this RFP, including system operations, fiscal agent operations, and monitoring and assessing provider performance. The Contracting Office is:

Mr. Larry Hales, Corrections Program Services

Department of Public Safety

919 Ala Moana Boulevard, Room 405

Honolulu, Hawaii 96814

Phone(808) 587-1272 Fax: (808) 587-1280

#### **VI. Orientation**

An orientation for applicants in reference to the request for proposals will be held as follows:

**Date:** February 29,2012 **Time:** 10:00 am to 11:00 am HST

**Location:** Department of Public Safety  
919 Ala Moana Boulevard, Room 400  
Honolulu, Hawaii 96814

Applicants are encouraged to submit written questions prior to the orientation. Impromptu questions will be permitted at the orientation and spontaneous answers provided at the state purchasing agency's discretion. However, answers provided at the orientation are only intended as general direction and may not represent the state purchasing agency's position. Formal official responses will be provided in writing. To ensure a written response, any oral questions should be submitted in writing following the close of the orientation, but no later than the submittal deadline for written questions indicated in the paragraph VII. Submission of Questions.

## **VII. Submission of Questions**

Applicants may submit questions to the RFP Contact Person identified in Section 2 of this RFP. All written questions will receive a written response from the state purchasing agency.

Deadline for submission of written questions:

**Date:** March 7, 2012      **Time:** 2:00 p.m. HST

State agency responses to applicant written questions will be provided by:

**Date:** March 14, 2012

## **VIII. Submission of Proposals**

A. **Forms/Formats** - Forms, with the exception of program specific requirements, may be found on the State Procurement Office website referred to in II. Website Reference. Refer to the Proposal Application Checklist for the location of program specific forms.

1. **Proposal Application Identification (Form SPO-H-200).** Provides applicant proposal identification.
2. **Proposal Application Checklist.** Provides applicants with information on where to obtain the required forms; information on program specific requirements; which forms are required and the order in which all components should be assembled and submitted to the state purchasing agency.

3. **Table of Contents.** A sample table of contents for proposals is located in Section 5, Attachments. This is a sample and meant as a guide. The table of contents may vary depending on the RFP.
  4. **Proposal Application (Form SPO-H-200A).** Applicant shall submit comprehensive narratives that address all of the proposal requirements contained in Section 3 of this RFP, including a cost proposal/budget if required.
- B. **Program Specific Requirements.** Program specific requirements are included in Sections 2, Service Specifications and Section 3, Proposal Application Instructions, as applicable. If required, Federal and/or State certifications are listed on the Proposal Application Checklist located in Section 5.
  - C. **Multiple or Alternate Proposals.** Multiple or alternate proposals shall not be accepted unless specifically provided for in Section 2 of this RFP. In the event alternate proposals are not accepted and an applicant submits alternate proposals, but clearly indicates a primary proposal, it shall be considered for award as though it were the only proposal submitted by the applicant.
  - D. **Tax Clearance.** Pursuant to HRS Section 103-53, as a prerequisite to entering into contracts of \$25,000 or more, providers shall be required to submit a tax clearance certificate issued by the Hawaii State Department of Taxation (DOTAX) and the Internal Revenue Service (IRS). The certificate shall have an original green certified copy stamp and shall be valid for six (6) months from the most recent approval stamp date on the certificate. Tax clearance applications may be obtained from the Department of Taxation website. (Refer to this section's part II. Website Reference.)
  - E. **Wages and Labor Law Compliance.** If applicable, by submitting a proposal, the applicant certifies that the applicant is in compliance with HRS Section 103-55, Wages, hours, and working conditions of employees of contractors performing services. Refer to HRS Section 103-55, at the Hawaii State Legislature website. (See part II, Website Reference.)
- **Compliance with all Applicable State Business and Employment Laws.** All providers shall comply with all laws governing entities doing business in the State. Prior to

contracting, owners of all forms of business doing business in the state except sole proprietorships, charitable organizations unincorporated associations and foreign insurance companies be registered and in good standing with the Department of Commerce and Consumer Affairs (DCCA), Business Registration Division. Foreign insurance companies must register with DCCA, Insurance Division. More information is on the DCCA website. (See part II, Website Reference.)

- F. **Hawaii Compliance Express (HCE).** Providers may register with HCE for online proof of DOTAX and IRS tax clearance Department of Labor and Industrial Relations (DLIR) labor law compliance, and DCCA good standing compliance. There is a nominal annual fee for the service. The "Certificate of Vendor Compliance" issued online through HCE provides the registered provider's current compliance status as of the issuance date, and is accepted for both contracting and final payment purposes. Refer to this section's part II. Website Reference for HCE's website address.

**Effective July 1, 2011,** Pursuant to Act 190, SLH 2011 and Procurement Circular No. 2011-02, verification of vendor's compliance to HRS §103D-310(c) shall be through Hawaii Compliance Express. Hardcopies of the tax clearance, LIR #27 and Certificate of Good Standing will not be acceptable.

To facilitate award it is recommended that Offerors register with the Hawaii Compliance Express prior to their bid submittal.

**Final Payment Requirements.** Contractor is required to submit a valid "Certificate of Vendor Compliance" for final payment on the contract

**Timely Submission of all Certificates.** If a valid certificate is not submitted on a timely basis for award of a contract, an offer otherwise responsive and responsible may not receive the award.

- G. **Campaign Contributions by State and County Contractors.** Providers are hereby notified of the applicability of HRS Section 11-205.5, which states that campaign contributions are prohibited from specified State or county government contractors during the term of the contract if the contractors are paid with funds appropriated by a legislative body. For more information, FAQs are available at the Campaign Spending Commission webpage. (See part II, Website Reference.)

- H. **Confidential Information.** If an applicant believes any portion of a proposal contains information that should be withheld as confidential, the applicant shall request in writing nondisclosure of designated proprietary data to be confidential and provide justification to support confidentiality. Such data shall accompany the proposal, be clearly marked, and shall be readily separable from the proposal to facilitate eventual public inspection of the non-confidential sections of the proposal.

***Note that price is not considered confidential and will not be withheld.***

- I. **Confidentiality of Personal Information.** Act 10 relating to personal information was enacted in the 2008 special legislative session. As a result, the Attorney General's General Conditions of Form AG Form 103F, *Confidentiality of Personal Information*, has been amended to include Section 8 regarding protection of the use and disclosure of personal information administered by the agencies and given to third parties.
- J. **Proposal Submittal.** All mail-ins shall be postmarked by the United States Postal System (USPS) and received by the State purchasing agency no later than the submittal deadline indicated on the attached Proposal Mail-in and Delivery Information Sheet. All hand deliveries shall be received by the State purchasing agency by the date and time designated on the Proposal Mail-In and Delivery Information Sheet. Proposals shall be rejected when:
- Postmarked after the designated date; or
  - Postmarked by the designated date but not received within 10 days from the submittal deadline; or
  - If hand delivered, received after the designated date and time.

The number of copies required is located on the Proposal Mail-In and Delivery Information Sheet. Deliveries by private mail services such as FEDEX shall be considered hand deliveries and shall be rejected if received after the submittal deadline. Dated USPS shipping labels are not considered postmarks.

Electronically transmitted proposals are not acceptable and shall be rejected.

## **IX. Discussions with Applicants**

- A. Prior to Submittal Deadline.** Discussions may be conducted with potential applicants to promote understanding of the purchasing agency's requirements.
- B. After Proposal Submittal Deadline -** Discussions may be conducted with applicants whose proposals are determined to be reasonably susceptible of being selected for award, but proposals may be accepted without discussions, in accordance HAR Section 3-143-403.

## **X. Opening of Proposals**

Upon receipt of a proposal by a state purchasing agency at a designated location, proposals, modifications to proposals, and withdrawals of proposals shall be date-stamped, and when possible, time-stamped. All documents so received shall be held in a secure place by the state purchasing agency and not examined for evaluation purposes until the submittal deadline.

Procurement files shall be open to public inspection after a contract has been awarded and executed by all parties.

## **XI. Additional Materials and Documentation**

Upon request from the state purchasing agency, each applicant shall submit any additional materials and documentation reasonably required by the state purchasing agency in its evaluation of the proposals.

## **XII. RFP Amendments**

The State reserves the right to amend this RFP at any time prior to the closing date for the final revised proposals.

## **XIII. Final Revised Proposals**

If requested, final revised proposals shall be submitted in the manner, and by the date and time specified by the state purchasing agency. If a final revised proposal is not submitted, the previous submittal shall be construed as the applicant's best and final offer/proposal. *The applicant shall submit **only** the section(s) of the proposal that are amended, along with the Proposal Application Identification Form*

(SPO-H-200). After final revised proposals are received, final evaluations will be conducted for an award.

#### **XIV. Cancellation of Request for Proposal**

The RFP may be canceled and any or all proposals may be rejected in whole or in part, when it is determined to be in the best interests of the State.

#### **XV. Costs for Proposal Preparation**

Any costs incurred by applicants in preparing or submitting a proposal are the applicants' sole responsibility.

#### **XVI. Provider Participation in Planning**

Provider participation in a state purchasing agency's efforts to plan for or to purchase health and human services prior to the state purchasing agency's release of a RFP, including the sharing of information on community needs, best practices, and providers' resources, shall not disqualify providers from submitting proposals if conducted in accordance with HAR Sections 3-142-202 and 3-142-203.

#### **XVII. Rejection of Proposals**

The State reserves the right to consider as acceptable only those proposals submitted in accordance with all requirements set forth in this RFP and which demonstrate an understanding of the problems involved and comply with the service specifications. Any proposal offering any other set of terms and conditions contradictory to those included in this RFP may be rejected without further notice.

A proposal may be automatically rejected for any one or more of the following reasons:

- (1) Rejection for failure to cooperate or deal in good faith. (HAR Section 3-141-201)
- (2) Rejection for inadequate accounting system. (HAR Section 3-141-202)
- (3) Late proposals (HAR Section 3-143-603)
- (4) Inadequate response to request for proposals (HAR Section 3-143-609)
- (5) Proposal not responsive (HAR Section 3-143-610(a)(1))

- (6) Applicant not responsible (HAR Section 3-143-610(a)(2))

## **XVIII. Notice of Award**

A statement of findings and decision shall be provided to all applicants by mail upon completion of the evaluation of competitive purchase of service proposals.

Any agreement arising out of this solicitation is subject to the approval of the Department of the Attorney General as to form, and to all further approvals, including the approval of the Governor, required by statute, regulation, rule, order or other directive.

No work is to be undertaken by the awardee prior to the contract commencement date. The State of Hawaii is not liable for any costs incurred prior to the official starting date.

## **XIX. Protests**

Any applicant may file a protest against the awarding of the contract. The Notice of Protest form, SPO-H-801, is available on the SPO website. (See paragraph II, Website Reference.) Only the following matters may be protested:

- (1) A state purchasing agency's failure to follow procedures established by Chapter 103F of the Hawaii Revised Statutes;
- (2) A state purchasing agency's failure to follow any rule established by Chapter 103F of the Hawaii Revised Statutes; and
- (3) A state purchasing agency's failure to follow any procedure, requirement, or evaluation criterion in a request for proposals issued by the state purchasing agency.

The Notice of Protest shall be postmarked by USPS or hand delivered to 1) the head of the state purchasing agency conducting the protested procurement and 2) the procurement officer who is conducting the procurement (as indicated below) within five working days of the postmark of the Notice of Findings and Decision sent to the protestor. Delivery services other than USPS shall be considered hand deliveries and considered submitted on the date of actual receipt by the state purchasing agency.

<b>Head of State Purchasing Agency</b>	<b>Procurement Officer</b>
Name: Jodie F. Maesaka-Hirata	Name: Patricia J. Snyder, Ph.D.
Title: Director	Title: Business Management Officer
Mailing Address: 919 Ala Moana Blvd., Room 400 Honolulu, Hawaii 96814	Mailing Address: 919 Ala Moana Blvd., Room 413 Honolulu, Hawaii 96814
Business Address: Same as above.	Business Address: Same as above.

## **XX. Availability of Funds**

The award of a contract and any allowed renewal or extension thereof, is subject to allotments made by the Director of Finance, State of Hawaii, pursuant to HRS Chapter 37, and subject to the availability of State and/or Federal funds.

## **XXI. General and Special Conditions of Contract**

The general conditions that will be imposed contractually are on the SPO website. (See paragraph II, Website Reference). Special conditions may also be imposed contractually by the state purchasing agency, as deemed necessary.

**Insurance Requirements.** The PROVIDER shall obtain from a company authorized by law to issue such insurance in the State of Hawai'i commercial general liability insurance ("liability insurance") in an amount of at least TWO MILLION AND NO/100 DOLLARS (\$2,000,000.00) coverage for bodily injury and property damage resulting from the PROVIDER's performance under this Contract. The PROVIDER shall maintain in effect this liability insurance until the STATE certifies that the PROVIDER's work under the Contract has been completed satisfactorily.

The liability insurance shall be primary and shall cover the insured for all work to be performed under the Contract, including changes, and all work performed incidental thereto or directly or indirectly connected therewith.

A certificate of the liability insurance shall be given to the STATE by the PROVIDER. The certificate shall provide that the STATE and its officers and employees are Additional Insureds. The certificate shall

provide that the coverages being certified will not be cancelled or materially changed without giving the STATE at least 30 days prior written notice by registered mail.

Should the "liability insurance" coverages be cancelled before the PROVIDER's work under the Contract is certified by the STATE to have been completed satisfactorily, the PROVIDER shall immediately procure replacement insurance that complies in all respects with the requirements of this section.

Nothing in the insurance requirements of this Contract shall be construed as limiting the extent of PROVIDER's responsibility for payment of damages resulting from its operations under this Contract, including the PROVIDER's separate and independent duty to defend, indemnify, and hold the STATE and its officers and employees harmless pursuant to other provisions of this Contract.

## **XXII. Cost Principles**

In order to promote uniform purchasing practices among state purchasing agencies procuring health and human services under HRS Chapter 103F, state purchasing agencies will utilize standard cost principles outlined in Form SPO-H-201, which is available on the SPO website (see paragraph II, Website Reference). Nothing in this section shall be construed to create an exemption from any cost principle arising under federal law.

# **Section 2**

## **Service Specifications**

## **Section 2**

# **Service Specifications**

### **I. Introduction**

#### **A. Overview, purpose or need**

This project is to provide up to 36 correctional work furlough community beds, with attending halfway house services, to women offenders transitioning from incarceration at the Women's Community Correctional Center to the community.

The purpose of the project is to successfully reintegrate these offenders through a program that will reduce the chances of re-offending while enhancing the participants' ability to be productive members of society.

#### **B. Planning activities conducted in preparation for this RFP**

Pursuant to HAR, Chapter 3-142-202(e), the requirement for a request for information has been waived by the Head of Purchasing Agency on the on the basis that:

1. The scope of services has not changed.
2. The target population has not changed.

#### **C. Description of the goals of the service**

The goal of the Correctional Work Furlough and Halfway House Program for Female Offenders is the development of necessary skills for pro-social independent living and to promote a drug free lifestyle. The halfway house program will provide for gender responsive issues, cognitive restructuring, relapse prevention, vocation/employment services, family therapy, health care, domestic violence and sexual abuse services.

#### **D. Description of the target population to be served**

Adult female sentenced offenders, who have met the requirements for community custody.

The service provider will be required to accept offenders who have been assessed as being appropriate for, or in need, of correctional work furlough and halfway house services unless the service provider presents the Department with justifiable reason that a particular

offender should not be accepted into the program. The Department will make the final determination.

**E. Geographic coverage of service**

Correctional work furlough and halfway house services shall be provided on Oahu.

**F. Probable funding amounts, source, and period of availability**

The funding available for services under this RFP is approximately \$900,090 for FY 2012 and \$900,090 for FY 2013.

**II. General Requirements**

**A. Specific qualifications or requirements, including but not limited to licensure or accreditation**

1. Service provider shall be a profit corporation under the laws of the State of Hawaii or non-profit organization determined by the Internal Revenue Services to be exempt from the federal income tax.
2. If a non-profit corporation, service provider must have a governing board whose members have no material conflict or interest and serve without compensation.
3. Service provider must have by-laws or policies that describe the manner in which business is conducted and policies that relate to nepotism and management of potential conflict of interest situations.
4. Service provider must have a minimum of one year of successful experience in dealing with offenders and their families.
5. Service provider will be required to accept offenders who have been assessed by the Department as being appropriate for services, unless the service provider presents to the Department, justifiable reason that an offender should not be accepted into the program. The Provider shall provide only those correctional work furlough and halfway house services identified by the Department as required for the offender. The Department shall have the final decision as to whether an offender will continue to receive correctional work furlough and

halfway house services or be terminated from receiving those services.

6. To those agencies that do not meet the one-year experience requirement, an exception can be made. The request for an exception shall include at a minimum a discussion of the following:
  - a. The reasons why the exception is being requested (i.e., the reasons why the organization does not meet the one year experience requirement, the service for which funds are being requested is a new services, etc.)
  - b. The qualifications and experience of the organization in providing services for other related state programs in the past.
  - c. Description of the activities performed to date and accompanying statistical data.
7. Comply with the Standards for Community Residential Programs of the American Correctional Association (ACA).
8. Monitor participants' behavior to ensure compliance with all State and Federal Laws and the rules and regulations of the Department.
9. Comply with the State of Hawaii Codes and Regulations (i.e., Fire Code, Health Care, etc.).

**B. Secondary purchaser participation**  
(Refer to HAR Section 3-143-608)

After-the-fact secondary purchases will be allowed.

Planned secondary purchases: No planned secondary purchases.

**C. Multiple or alternate proposals**  
(Refer to HAR Section 3-143-605)

Allowed                       Unallowed

**D. Single or multiple contracts to be awarded**  
(Refer to HAR Section 3-143-206)

Single       Multiple       Single & Multiple

Criteria for multiple awards:

1. Program services provided;
2. Number of bed space available; and
3. Bed day cost per offender.

**E. Single or multi-term contracts to be awarded**  
(Refer to HAR Section 3-149-302)

Single term (2 years or less)       Multi-term (more than 2 years)

Contract terms:

Initial term of contract: July 1, 2012 to June 30, 2014

Length of each extension: Twelve months

Number of possible extensions: One

Maximum length of contract: Three years

The initial period shall commence on the contract start date or Notice to Proceed, whichever is later.

Conditions for extension: Extensions are subject to: the availability of funds; satisfactory performance of the provider to scope of services herein, and upon prior mutual agreement in writing.

**F. RFP contact person**

The individual listed below is the sole point of contact from the date of release of this RFP until the selection of the successful provider(s). Written questions should be submitted to the RFP contact person and received by the day and time specified in Section 1, paragraph I (Procurement Timetable) of this RFP.

Marc Yamamoto  
Department of Public Safety

Administrative Services Office – Purchasing and Contracts  
919 Ala Moana Blvd., Room 413  
Honolulu, Hawaii 96814

Phone (808) 587-1215 Fax (808) 587-1244

### **III. Scope of Work**

The scope of work encompasses the following tasks and responsibilities:

#### **A. Service Activities**

(Minimum and/or mandatory tasks and responsibilities)

1. Provide a correctional halfway house setting appropriate for achievement of the program's purpose.
2. Provide for re-entry services through group or individual discussions to address offender needs in areas such as cognitive skills, life skills, substance abuse, domestic violence, physical and sexual abuse as they relate to transitioning back to the community.
3. Provide 24 hours-a-day, correctional work furlough and halfway house living arrangements and on-site accountability supervision of offenders.
4. Report all violations promptly to the Department.
5. Provide food supplies so that offenders may prepare three nutritionally adequate meals per day, of which two will be a hot meal, unless specifically waived by the Department or unless a waiver of this provision by the offender is made through a written agreement with the Provider.
6. Enable the offenders to engage in meaningful leisure, social and recreation activities.
7. Provide case management services in coordination with the Department, maintain case records and provide periodic or as requested, reports and evaluations. Services shall include referral to public and private social services, vocational placement agencies, mental health services, and other similar agencies; and monitoring the reintegration process from

community halfway house programming to independent living provided the Department approves the selection of participants.

8. Instruct each offender in seeking, obtaining and maintaining approved employment. Transportation to be the responsibility of the offender\_and agreed upon with Service Provider and Department.
9. Instruct offenders with personal budgeting, to ensure that they have a viable plan to meet their financial obligations and accumulate savings for use after release from incarceration.
10. Arrange for transportation for Department approved medical and dental services. Department authorized services will be paid for by the Department. Allow offenders with personal health insurance to secure personal medical services with the approval of the Department.
11. Provide classes for offenders, provide timely intervention when warranted, assistance with family matters and assistance in adjusting to independent living in the community.
12. Provide offenders with assistance in developing skills necessary for successful reintegration into the community.
13. Provide access to re-entry services in the areas of cognitive skills, substance abuse, domestic violence, physical and sexual abuse through the in-house personnel or Department approved sub-providers. Any cost not itemized in this RFP proposal will be approved by the Department before payment is made.
14. Monitor offenders living independently in the community to ensure their compliance with established conditions of the furlough agreement and treatment plans.
15. Provide urinalysis testing for the use of drugs and alcohol in accordance with Department policy and procedure COR.08.10, describing the method and tools to be used.

**B. Management Requirements (Minimum and/or mandatory requirements)****1. Personnel**

The Service Provider and/or Sub-Provider shall notify each of its employees as well as employees of any subcontractors, who provide services to any person committed to the custody of the Director of Public Safety for imprisonment pursuant to Chapter 706, including a probationer serving a term of imprisonment pursuant to Section 706-624(2)(a) and a misdemeanor or petty misdemeanor sentenced pursuant to Section 706-663, of the Hawaii Revised Statute, Section 707-731, Sexual assault in the second degree and Section 707-732, Sexual assault in the third degree. In addition the Service Provider and any subcontractor shall maintain a copy of the aforementioned statutes and shall maintain in each of the aforementioned employees and employees of any subcontractors' file written documentation that the employee has received notice of the statutes.

Due to the offenders under this contract being under the jurisdiction of the Department of Public Safety, the Service Provider shall employ staff that are suitable to deal with these offenders. The Service Provider or Sub-Provider shall not hire persons currently serving a criminal sentence (i.e., on furlough from a correctional facility, on probation, on parole, or under the terms of a DAG/DANC plea). Any employee with a criminal history shall be subject to review and approval by the Department. The Department will review and agree to the employment of service provider's staff and sub-providers in writing. The Department of Public Safety shall agree any changes to staff and/or sub-providers in writing.

**2. Administrative**

- a. Service provider must operate their program in accordance with the rules, regulations, and policies of the Department of Public Safety.
- b. The Service provider must have the ability to supervise, train, and provide administrative direction relative to the delivery of services.

- c. The Service Provider and/or Sub-Provider shall inform and educate their employees of all Hawaii Revised Statutes that have reference to the delivery of services for the offenders committed to the custody of the Director of Public Safety (PSD).

### **3. Quality assurance and evaluation specifications**

The Department's Corrections Program Service Division Administrator will monitor the service provider's compliance with the service specification mandates and evaluate the services performed. The Corrections Program Service Division Administrator, who may suspend or terminate the services under the provisions of this contract, shall evaluate unacceptable practices or deviation from the service specifications. Prior to such suspension of the contract by the Administrator, the service provider shall be allowed to make every effort to correct any perceived discrepancies and shall be given reasonable time to do so. The Corrections Program Service Division Administrator shall determine reasonable time.

### **4. Output and performance/outcome measurements**

Applicant shall provide a detailed description of its outcome evaluation and measures of effectiveness and should include, but not limited to:

- Total number of offenders referred for correctional work furlough and halfway house services.
- Number of offenders accepted into the program.
- Number of offenders referred to each service component.
- Number of offenders successfully completed each service component.
- Number of offenders dropped out of each service component.
- Number of offenders terminated from the program due to misconducts (positive urinalysis, assault, etc).
- Number of offenders terminated due to criminal offense.
- Total number of drug tests (positive and negative.)
- Number of offenders completing the program and placed on extended furlough.
- Of the offenders who have completed the program, what percentage of offenders remained drug-free.

- Of the offenders who have completed the program, what percentage of offenders remained arrest-free. Conviction-free.
- Number of offenders paroled upon completing the program.

Long term measures of success include recidivism rates and adjustment in the community. However, service providers will not be evaluated on measures that occur outside of the contract period.

## **5. Experience**

The applicant must demonstrate a minimum of five years experience in job development, employment counseling, employer relations and coordination of services for female offender population.

The applicant must demonstrate experience in training staff to work with female offenders.

The applicant must demonstrate that all current staff meet all licensing and or credential requirements.

The applicant must demonstrate experience in integrated case management with other employment services, educational institutions and social service agencies.

## **6. Coordination of services**

The applicant must demonstrate experience in coordinating services with social service agencies such as Division of Vocational Rehabilitation, the Department of Labor Workforce Development, Labor Union training programs, University of Hawaii Community College system and Department of Health.

The applicant must demonstrate the ability to coordinate program activities, appointments and interviews with correctional counselors, security staff, parole officers, and community based offender treatment programs.

## 7. Reporting requirements for program and fiscal data

On the first working day of each month, the service provider will be required to fax to the Substance Abuse Services Officer the monthly list of offenders they are providing services for by facility for drug testing purposes in accordance with the Department's policy and procedure COR.08.10.

Service provider will be required to submit:

- a) Program reports filed separately from billings and marked "confidential" and forwarded to the Substance Abuse Services Office.
- b) Monthly reports to the Department detailing its expenditures, operational activities, progress and problems. Attached to each report shall be an Attendance Sheet that will include:
  - The date and time of each service, whether completed or interrupted.
  - A roster of offenders who attended each session.
  - For absent offenders, whether they were excused or unexcused.
  - A signed copy of the Attendance Sheet by provider as to accuracy and authenticity.
- c) Monthly activity reports, in a format to be approved by the Department, no later than the 10th of each month.
- d) Quarterly line item expenditure reports, in a format to be approved by the Department, no later than 30 days after the close of each fiscal quarter.
- e) Report of any knowledge of criminal activity by an offender, whether potential or actual, to the Department in accordance with agreed upon procedures.

**C. Facilities**

The Service Provider shall provide a description of the facility(s) and site that will be used to meet the correctional work furlough and halfway house needs of the offenders.

**IV. COMPENSATION AND METHOD OF PAYMENT**

**A. Pricing structure or pricing methodology to be used**

Pricing shall be based on unit of service pricing structure. The pricing shall include all taxes, shall be the all-inclusive cost to the State, and no other charges will be honored.

**B. Units of service and unit rate**

The Unit of Service and Unit rate shall be based on price per bed per day for residential services, and price per day in the community for community placement services

The day rate for in-community beds (community placement) will be contingent on availability of funds also from unused bed days from the over-all program budget of \$900,090 set forth by the Department. The ability to provide services will be dependent upon the ability to charge the specific dollar amount per day from the program budget.

**C. Method of compensation and payment**

Payment to the provider shall be made on a reimbursement basis for direct services upon receipt of the original invoice and three copies no later than 30 days after the last session for the month

# **Section 3**

## **Proposal Application Instructions**

## Section 3

# Proposal Application Instructions

### General instructions for completing applications:

- *Proposal Applications shall be submitted to the state purchasing agency using the prescribed format outlined in this section.*
- *The numerical outline for the application, the titles/subtitles, and the applicant organization and RFP identification information on the top right hand corner of each page should be retained. The instructions for each section however may be omitted.*
- *Page numbering of the Proposal Application should be consecutive, beginning with page one and continuing through for each section. See sample table of contents in Section 5.*
- *Proposals may be submitted in a three ring binder (Optional).*
- *Tabbing of sections (Recommended).*
- *Applicants must also include a Table of Contents with the Proposal Application. A sample format is reflected in Section 5, Attachment B of this RFP.*
- *A written response is required for **each** item unless indicated otherwise. Failure to answer any of the items will impact upon an applicant's score.*
- *Applicants are **strongly** encouraged to review evaluation criteria in Section 4, Proposal Evaluation when completing the proposal.*
- *This form (SPO-H-200A) is available on the SPO website (see Section 1, paragraph II, Website Reference). However, the form will not include items specific to each RFP. If using the website form, the applicant must include all items listed in this section.*

### The Proposal Application comprises the following sections:

- *Proposal Application Identification Form*
- *Table of Contents*
- *Program Overview*
- *Experience and Capability*
- *Project Organization and Staffing*
- *Service Delivery*
- *Financial*
- *Other*

#### **I. Program Overview**

Applicant shall give a brief overview to orient evaluators as to the program/services being offered.

## II. Experience and Capability

### A. Necessary Skills

The applicant shall demonstrate that it has the necessary skills, abilities, and knowledge relating to the delivery of the proposed services.

### B. Experience

The applicant shall provide a description of projects/contracts pertinent to the proposed services. Applicant shall include points of contact, addresses, e-mail/phone numbers. The State reserves the right to contact references to verify experience.

1. List of experience as an agency providing substance abuse services;
2. List of experience as an agency providing services to offenders and their families.
3. List of contracts performed for the Department of Public Safety;
4. List of other prior contracts with the public sector in providing services in general for male and female offenders specifically. Discuss any problems or difficulties encountered in prior contracts. Applicant shall provide a point of contact and telephone number for each contract listed. The Department reserves the right to contact any of the listed points of contact to inquire about the applicant's past service performance and personnel;
5. Success applicant has had in recruiting and retaining quality staff; and
6. Applicant's current financial statement and any financial audits completed in the last three (3) years.

For those agencies that do not meet the one-year experience requirement, an exception can be made. The request for an exception shall include at a minimum a discussion of the following:

1. The reasons why the exception is being requested (i.e., the reasons why the organization does not meet the one year experience requirement, the service for which funds are being requested is a new service, etc.)
2. The qualifications and experience of the organization in providing services for other related state programs in the past.
3. Description of the activities performed to date and accompanying statistical data.

**C. Quality Assurance and Evaluation**

The applicant shall describe its own plans for quality assurance and evaluation for the proposed services, including methodology.

**D. Coordination of Services**

The applicant shall demonstrate the capability to coordinate services with other agencies and resources in the community.

**E. Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the proposed services. If facilities are not presently available, describe plans to secure facilities. Also describe how the facilities meet ADA requirements, as applicable, and special equipment that may be required for the services.

**III. Project Organization and Staffing**

**A. Staffing**

**1. Proposed Staffing**

The applicant shall describe the proposed staffing pattern, client/staff ratio and proposed caseload capacity appropriate for the viability of the services. (Refer to the personnel requirements in the Service Specifications, as applicable.)

**2. Staff Qualifications**

The applicant shall provide the minimum qualifications (including experience) for staff assigned to the program. (Refer to the qualifications in the Service Specifications, as applicable)

**B. Project Organization**

**1. Supervision and Training**

The applicant shall describe its ability to supervise, train and provide administrative direction relative to the delivery of the proposed services.

**2. Organization Chart**

The applicant shall reflect the position of each staff and line of responsibility/supervision. (Include position title, name and full time equivalency) Both the "Organization-wide" and "Program" organization charts shall be attached to the Proposal Application.

#### **IV. Service Delivery**

Applicant shall include a detailed discussion of the applicant's approach to applicable service activities and management requirements from Section 2, Item III. - Scope of Work, including (if indicated) a work plan of all service activities and tasks to be completed, related work assignments/responsibilities and timelines/schedules.

Applicant shall include a complete description of services and activities proposed to provide a comprehensive program for sentenced felons. This section shall include, at a minimum, the following:

1. Program philosophy;
2. Program components;
3. Description of case management services, including record-keeping and report writing methods;
4. Description of how basic services will be provided;
5. Description of how the range of services, including elements and methods of treatment, will be provided for all the required services;
6. Description of how agency will provide basic and reintegration services to a fluctuating population with changing needs;
7. Flexibility of reintegration programs;
8. Description of on-site supervision of offenders

#### **V. Financial**

##### **A. Pricing Structure**

Applicant shall submit a cost proposal utilizing the pricing structure designated by the state-purchasing agency. The cost proposal shall be attached to the Proposal Application.

Pricing shall be based on unit of service pricing structure. Proposals shall identify the unit cost for each bed per day as well as the estimated number of beds to be provided. The pricing shall include all taxes, shall be all inclusive cost to the State, and no other charges will be honored.

All budget forms, instructions and samples are located on the SPO website (see the Proposal Application Checklist in Section 5 for website address). The following budget form(s) shall be submitted with the Proposal Application:

SPO-H-205, Budget  
SPO-H-205A, Organization-Wide Budget by Source of Funds  
SPO-H-206A, Budget Justification – Personnel – Salaries and Wages  
SPO-H-206B, Budget Justification – Personnel – Payroll Taxes,  
Assessments and Fringe Benefits  
SPO-H-206F, Budget Justification – Contractual Services –  
Subcontracts

**B. Other Financial Related Materials**

**1. Accounting System**

In order to determine the adequacy of the applicant's accounting system as described under the administrative rules, the following documents are requested as part of the Proposal Application (may be attached):

Applicant's current financial statement and any financial audits completed in the last three (3) years.

**VI. Other**

**A. Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.

**Section 4**

**Proposal Evaluation**

# Section 4 Proposal Evaluation

## I. Introduction

The evaluation of proposals received in response to the RFP will be conducted comprehensively, fairly and impartially. Structural, quantitative scoring techniques will be utilized to maximize the objectivity of the evaluation.

## II. Evaluation Process

The procurement officer or an evaluation committee of designated reviewers selected by the head of the state purchasing agency or procurement officer shall review and evaluate proposals. When an evaluation committee is utilized, the committee will be comprised of individuals with experience in, knowledge of, and program responsibility for program service and financing.

The evaluation will be conducted in three phases as follows:

- Phase 1 - Evaluation of Proposal Requirements
- Phase 2 - Evaluation of Proposal Application
- Phase 3 - Recommendation for Award

### Evaluation Categories and Thresholds

<b><u>Evaluation Categories</u></b>	<b><u>Possible Points</u></b>
<b><i>Administrative Requirements</i></b>	
<b><i>Proposal Application</i></b>	
Program Overview	0 points
Experience and Capability	20 points
Project Organization and Staffing	15 points
Service Delivery	55 points
Financial	10 Points
<b>TOTAL POSSIBLE POINTS</b>	<b>100 Points</b>

### **III. Evaluation Criteria**

#### **A. Phase 1 - Evaluation of Proposal Requirements**

##### **1. Administrative Requirements**

- Application Checklist
- Registration with Hawaii Compliance Express, is recommended. (refer to Section One)
- Certificate of Liability Insurance (refer to Section One)

##### **2. Proposal Application Requirements**

- Proposal Application Identification Form (Form SPO-H-200)
- Table of Contents
- Program Overview
- Experience and Capability
- Project Organization and Staffing
- Service Delivery
- Financial (All required forms and documents)
- Program Specific Requirements (as applicable)

#### **B. Phase 2 - Evaluation of Proposal Application (100 Points)**

1. ***Program Overview:*** No points are assigned to Program Overview. The intent is to give the applicant an opportunity orient evaluators as to the service(s) being offered.
2. ***Experience and Capability (20 Points)***

The State will evaluate the applicant's experience and capability relevant to the proposal contract, which shall include:

- A. **Necessary Skills** 4 pts
  - Demonstrated skills, abilities, and knowledge relating to the delivery of the proposed services.
  
- B. **Experience** 4 pts
  - Three (3) years of experience of providing services to the criminal justice offenders.

*Superior service:* *+4 points maximum*  
*(Superior service to be defined as vendor providing exceptional services per the contract or services beyond the minimum service requirements of the contract.)*

*Service not yet established* *+0 points*  
*(For providers not yet established working with the correctional population)*

*Substandard service* *-4 points maximum*  
*(Substandard service defined as notices issued to the provider for corrective action which have not been adequately addressed.)*
  
- C. **Quality Assurance and Evaluation** 4 pts
  - Sufficiency of quality assurance and evaluation plans for the proposed services, including methodology.
  
- D. **Coordination of Services** 4 pts
  - Demonstrated capability to coordinate services with other agencies and resources in the community.
  
- E. **Facilities** 4 pts
  - Adequacy of facilities relative to the proposed services.

**2. Project Organization and Staffing (15 Points)**

The State will evaluate the applicant’s overall staffing approach to the service that shall include:

- |  |                     |
|--|---------------------|
| <b>A. Staffing</b>   | <b><u>8 pts</u></b> |
| <ul style="list-style-type: none"> <li>• <u>Proposed Staffing</u>: That the proposed staffing pattern, client/staff ratio, and proposed caseload capacity is reasonable to insure viability of the services.</li> </ul>        | <u>(4 pts)</u>      |
| <ul style="list-style-type: none"> <li>• <u>Staff Qualifications</u>: Minimum qualifications (including experience) for staff assigned to the program.</li> </ul>  | (4 pts)             |
| <b>B. Project Organization</b>   | <b><u>7 pts</u></b> |
| <ul style="list-style-type: none"> <li>• <u>Supervision and Training</u>: Demonstrated ability to supervise, train and provide administrative direction to staff relative to the delivery of the proposed services.</li> </ul> | (4 pts)             |
| <ul style="list-style-type: none"> <li>• <u>Organization Chart</u>: Approach and rationale for the structure, functions, and staffing of the proposed organization for the overall service activity and tasks.</li> </ul>      | <u>(3 pts)</u>      |

**3. Service Delivery (55 Points)**

*Evaluation criteria for this section will assess the applicant’s approach to the service activities and management requirements outlined in the Proposal Application.*

- |   |                 |
|---|-----------------|
| <ul style="list-style-type: none"> <li>• Program Philosophy</li> </ul>  | <u>( 5 pts)</u> |
| <ul style="list-style-type: none"> <li>• Program Components</li> </ul>  | <u>(20 pts)</u> |
| <ul style="list-style-type: none"> <li>• Case Management Services</li> </ul>  | <u>(10 pts)</u> |
| <ul style="list-style-type: none"> <li>• Description of Basic Services</li> </ul>   | <u>(10 pts)</u> |
| <ul style="list-style-type: none"> <li>• Description of the Range of Services</li> </ul>  | <u>( 5 pts)</u> |
| <ul style="list-style-type: none"> <li>• Description of how the Service Provider will provide services to the fluctuating population needs</li> </ul> | <u>( 5 pts)</u> |

**5. *Financial(10 Points)***

- Adequacy of accounting system
- Competitiveness and reasonableness of unit of service, as applicable
- Financial stability of the applicant.

**C. Phase 3 - Recommendation for Award**

Each notice of award shall contain a statement of findings and decision for the award or non-award of the contract to each applicant.

# **Section 5**

## **Attachments**

- A. Proposal Application Checklist
- B. Sample Table of Contents

## Proposal Application Checklist

Applicant: \_\_\_\_\_

RFP No.: PSD 12-CPS/SA-24

The applicant's proposal must contain the following components in the order shown below. This checklist must be signed, dated and returned to the purchasing agency as part of the Proposal Application. SPOH forms are on the SPO website. See Section 1, paragraph II Website Reference.\*

Item	Reference in RFP	Format/Instructions Provided	Required by Purchasing Agency	Completed by Applicant
<b>General:</b>				
Proposal Application Identification Form (SPO-H-200)	Section 1, RFP	SPO Website*	<b>X</b>	
Proposal Application Checklist	Section 1, RFP	Attachment A	<b>X</b>	
Table of Contents	Section 5, RFP	Section 5, RFP	<b>X</b>	
Proposal Application (SPO-H-200A)	Section 3, RFP	SPO Website*	<b>X</b>	
Tax Clearance Certificate (Form A-6)	Section 1, RFP	Dept. of Taxation Website (Link on SPO website)*	<b>X</b>	
Cost Proposal (Budget)				
SPO-H-205	Section 3, RFP	SPO Website*	<b>X</b>	
SPO-H-205A	Section 3, RFP	SPO Website* Special Instructions are in Section 5	<b>X</b>	
SPO-H-205B	Section 3, RFP,	SPO Website* Special Instructions are in Section 5		
SPO-H-206A	Section 3, RFP	SPO Website*	<b>X</b>	
SPO-H-206B	Section 3, RFP	SPO Website*	<b>X</b>	
SPO-H-206C	Section 3, RFP	SPO Website*		
SPO-H-206D	Section 3, RFP	SPO Website*		
SPO-H-206E	Section 3, RFP	SPO Website*		
SPO-H-206F	Section 3, RFP	SPO Website*	<b>X</b>	
SPO-H-206G	Section 3, RFP	SPO Website*		
SPO-H-206H	Section 3, RFP	SPO Website*		
SPO-H-206I	Section 3, RFP	SPO Website*		
SPO-H-206J	Section 3, RFP	SPO Website*		
<b>Certifications:</b>				
<b>Federal Certifications</b>		Section 5, RFP		
Debarment & Suspension		Section 5, RFP		
Drug Free Workplace		Section 5, RFP		
Lobbying		Section 5, RFP		
Program Fraud Civil Remedies Act		Section 5, RFP		
Environmental Tobacco Smoke		Section 5, RFP		
<b>Program Specific Requirements:</b>				
Certificate of Vendor Compliance	Section 1, RFP	HCE website	<b>X</b>	
Certificate of Insurance	Section 1, RFP	Section 1, RFP	<b>X</b>	

\_\_\_\_\_

Authorized Signature

\_\_\_\_\_

Date

## Proposal Application Table of Contents

<b>I.</b>	<b>Program Overview .....</b>	<b>1</b>
<b>II.</b>	<b>Experience and Capability .....</b>	<b>1</b>
	A. Necessary Skills .....	2
	B. Experience.....	4
	C. Quality Assurance and Evaluation .....	5
	D. Coordination of Services .....	6
	E. Facilities .....	6
<b>III.</b>	<b>Project Organization and Staffing .....</b>	<b>7</b>
	A. Staffing .....	7
	1. Proposed Staffing .....	7
	2. Staff Qualifications .....	9
	B. Project Organization .....	10
	1. Supervision and Training .....	10
	2. Organization Chart (Program & Organization-wide) (See Attachments for Organization Charts)	
<b>IV.</b>	<b>Service Delivery .....</b>	<b>12</b>
<b>V.</b>	<b>Financial.....</b>	<b>20</b>
	See Attachments for Cost Proposal	
<b>VI.</b>	<b>Litigation.....</b>	<b>20</b>
<b>VII.</b>	<b>Attachments</b>	
	A. Cost Proposal	
	SPO-H-205 Proposal Budget	
	SPO-H-206A Budget Justification - Personnel: Salaries & Wages	
	SPO-H-206B Budget Justification - Personnel: Payroll Taxes and Assessments, and Fringe Benefits	
	SPO-H-206C Budget Justification - Travel: Interisland	
	SPO-H-206E Budget Justification - Contractual Services – Administrative	
	B. Other Financial Related Materials	
	Financial Audit for fiscal year ended June 30, 1996	
	C. Organization Chart	
	Program	
	Organization-wide	
	D. Performance and Output Measurement Tables	
	Table A	
	Table B	
	Table C	
	E. Program Specific Requirements	