



STATE PROCUREMENT OFFICE
NOTICE & REQUEST FOR SOLE SOURCE 15 JUN -2 10:58

ADMINISTRATION
STATE PROCUREMENT OFFICE
STATE OF HAWAII

TO: Chief Procurement Officer
FROM: Department of Human Services, Office of Youth Services
Name of Requesting Department

Pursuant to HRS §103D-306 and HAR chapter 3-122, Subchapter 9, the Department requests sole source approval to purchase the following:

1. Describe the goods, services, or construction to be procured.
The Youth Assessment and Screening Instrument (YASI) assesses risk, needs, and protective factors in juvenile justice populations. It is a tool to assist probation officers and social workers to examine variables affecting the behaviors and functioning of juvenile offenders involved with the Family Court, and to address identified areas in need of improvement. The Act 201, Session Laws of Hawaii 2014 (see attached), mandates comprehensive juvenile justice reform, including statewide adoption of a single risk/need assessment tool that will be validated for Hawaii's juvenile justice population. Use of an actuarial assessment tool will improve system decision making and case management of youthful offenders in the State of Hawaii.

2. Vendor/Contractor/Service Provider Name: Orbis Partners, Inc.	3. Amount of Request: \$215,000.00
4. Term of contract (shall not exceed 12 months), if applicable: From: 6/25/2015 To: 6/24/2018 see attached justification	5. Prior SPO-001, Sole Source (SS) No.: None

6. Describe in detail the following:

a. The unique features, characteristics, or capabilities of the goods, service or construction.
The YASI is the only assessment instrument that meets all of the requirements set forth by the committee overseeing the selection of a single statewide standardized assessment tool. A committee representing all judicial circuits and the Hawaii Youth Correctional Facility was tasked with reviewing various tools and making a recommendation on a tool for use in Hawaii found that the YASI uniquely meets all of the Family Court's needs in terms of capacity (fiscal and staff costs, fit with policy and legal framework), implementation (length of time to complete instrument, training requirements, quality assurance), and empirical evidence (predictive validity, sample norms, construct validity, and inter-rater reliability). The tool also includes a pre-screen (for risk classification) and full assessment (for case planning), and the capacity for re-assessments, tracking changes over time. See attached tables for more details of comparison of the assessment tools. None

b. How the unique features, characteristics or capabilities of the goods, service or construction are essential for the department
Of particular interest is a study that found the YASI was the only tool examined that strongly met the committee's requirements in predictive validity across ethnic and gender differences in a population, as found in Aboriginal and non Aboriginal offenders. It is very important that, in addition to the features described above, a tool be selected that has predictive validity across diverse populations because of Hawaii's unique ethnically diverse population. YASI™ is a trademark owned by Orbis Partners Inc., and the training curriculum, software, eTraining and training materials are solely developed, distributed and supported by Orbis Partners Inc. There are no resellers of YASI products and/or services. The CaseWorks case management component of YASI includes integrated case planning, narrative report generator, pre-disposition reports, referral documentation, and aggregate statistical reporting for youth.

7. Describe the efforts and results in determining that this is the only vendor/contractor/service provider who can provide the goods, services or construction.

The committee undertook a detailed review of several tools over several months. The committee reached out to other jurisdictions for feedback on use of the tools that were being considered. The federal Office of Juvenile Justice and Delinquency Prevention awarded a grant to Community Resources for Justice/Crime and Justice Institute to provide technical assistance (TA) to Hawaii for the implementation of Act 201. TA assisted the committee to understand use of a consumer guide (see attached), developed by criminologist Ralph. C. Serin, Ph.D., to assist correctional agencies in the selection of a risk need tool. Committee carried out the review resulting in the findings described in 6a above.

8. Alternate source. Describe the other possible sources for the goods, services, or construction that were investigated but did not meet the department's needs.

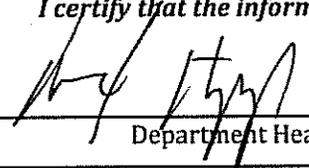
The other assessment tools investigated but did not meet the department needs: 1) Correctional Offender Management Profiling for Alternative Sanction (COMPAS), Northpointe (concerns regarding length of tool, potentially affecting inter-rater reliability and consistency standard; training may not be comprehensive enough) 2) Ohio Youth Assessment System (OYAS), Corrections Institute, University of Cincinnati (lacks built in case management component) 3) Youth Level of Service/Case Management Inventory (mixed reports from research and current/past clients). See attached tables for more details of comparison of the assessment tools (OYAS and YLS were not included in the table as they did not meet the committee's requirements)

9. Identify the primary responsible staff person(s) conducting and managing this procurement. (Appropriate delegated procurement authority and completion of mandatory training required.)
 *Point of contact (Place asterisk after name of person to contact for additional information).

Name	Division/Agency	Phone Number	E-mail Address
Merton Chinen	DHS/OYS	587-5712	mchinen@dhs.hawaii.gov

Department shall ensure adherence to applicable administrative and statutory requirements, including HAR chapter 3-122, Subchapter 15, Cost or Pricing Data if required.

All requirements/approvals and internal controls for this expenditure is the responsibility of the department.
I certify that the information provided is to the best of my knowledge, true and correct.


06/01/15
 _____ Date
 Department Head Signature

For Chief Procurement Officer Use Only

Date Notice Posted: 6/3/2015

Submit written objection to this notice to issue a sole source contract within seven calendar days or as otherwise allowed from date notice posted to:

state.procurement.office@hawaii.gov

Chief Procurement Officer (CPO) Comments:

This request is disapproved as the product specified in the sole source request is a preferred tool that does not meet the requirements of a sole source. The department is advised to conduct an applicable method of procurement, e.g., competitive sealed proposals, and develop specifications pursuant to HAR 3-122-10, providing a fair and equal opportunity for every supplier to participate that is able to meet the State's needs.

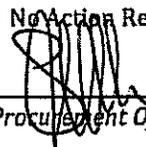
The consultant, CRJ, made a disservice to the Committee. Instead of assisting the Committee to develop an RFP, and facilitate a best-value competed procurement, they in essence, conducted their own internal evaluation and wasted several months of the Committee's time in detailed review. Their comparison of multiple vendors shows that this effort does not lie within a sole source environment. Award of work at this point would be highly protestable by any competitor, putting the State at risk.

If there are any questions, please contact Paula A. Youngling at 586-0554, or paula.a.youngling@hawaii.gov.

Approved

Disapproved

No Action Required


Chief Procurement Officer Signature

6/26/15
Date

Justification for Term of Contract to exceed 12 Months

The request to execute the term of the contract for 3 years regarding the YASI tool is based on the following:

- The entire implementation process, including data collection for inter-rater reliability and validity testing, is expected to take several years. This is consistent with other jurisdictions who have implemented risk/need assessments with fidelity.
- The scale of implementation of this initiative will involve large investments of time, training, and significant financial investment.
- The cost to implement the YASI through an initial extended contract allows for additional discounts for the three years vs. a one year license.

SS15-082K