

State of Hawaii
Department of Health
Child and Adolescent Mental Health Division

Contract Management Section

Request for Proposals

RFP 460-14-05

Mental Health Support for Homeless Children and Families

March 21, 2014

Note: *It is the applicant's responsibility to check the public procurement notice website, the request for proposals website, or to contact the RFP point-of-contact identified in the RFP for any addenda issued to this RFP. The State shall not be responsible for any incomplete proposal submitted as a result of missing addenda, attachments or other information regarding the RFP.*

March 21, 2014

REQUEST FOR PROPOSALS

MENTAL HEALTH SUPPORT FOR HOMELESS CHILDREN AND FAMILIES

RFP NO. 460-14-05

The Department of Health, Child and Adolescent Mental Health Division, is requesting proposals from qualified applicants to provide mental health support services to homeless children and their families. For purposes of this RFP, Homeless children are defined as any person up to eighteen (18) years of age, who lack a fixed, regular and adequate nighttime residence. These include those who live in motels, hotels, beaches or camping grounds due to the lack of alternative adequate accommodations, are in other temporary living situations, or are sharing the housing of others; and Rural is defined as outside urban Honolulu.

CAMHD is seeking to fund one project designed to enhance and complement existing services. Applicants are encouraged to propose projects that address the mental health needs of existing service populations. Proposals which leverage resources and propose cost-efficient strategies will be favorably reviewed. Services may include the provision of mental health services, addressing immediate needs, coordination of services, linkages to medical/dental/health services, and support services. The proposed contract term will be from September 1, 2014 through June 30, 2015 and renewable for additional twelve (12) month terms. One contract to be awarded under this request for proposals.

Proposals shall be mailed and postmarked by the United State Postal Service on or before April 30, 2014, or hand delivered no later than 3:30 p.m., Hawaii Standard Time (HST), on April 30, 2014, at the drop-off site designated on the Proposal Mail-in and Delivery Information Sheet. Proposals postmarked or hand delivered after the submittal deadline shall be considered late and rejected. There are no exceptions to this requirement.

The Child and Adolescent Mental Health Division will conduct an orientation on March 31, 2014 from 1:00 p.m. to 3:00 p.m. HST, at 3627 Kilauea Avenue, Room 101, Chief's Conference Room, Honolulu, Hawaii. All prospective applicants are encouraged to attend the orientation.

The deadline for submission of written questions is 3:30 p.m., HST, on April 7, 2014. All written questions will receive a written response from the State on or about April 10, 2014.

Inquiries regarding this RFP should be directed to the RFP contact person, Mr. John MacDonald, Contract Management Specialist, at 3627 Kilauea Avenue, Honolulu, Hawaii 96816, telephone: (808) 733-9338, fax: (808) 733-8375, e-mail: john.macdonald@doh.hawaii.gov

PROPOSAL MAIL-IN AND DELIVERY INFORMATION SHEET

NUMBER OF COPIES TO BE SUBMITTED: 1 Original and 3 Copies and 1 CD

ALL MAIL-INS SHALL BE POSTMARKED BY THE UNITED STATES POSTAL SERVICE (USPS) NO LATER THAN **April 30, 2014** and received by the state purchasing agency no later than **10 days** from the submittal deadline.

All Mail-ins

Department of Health
Child and Adolescent Mental Health
Division
3627 Kilauea Avenue, Room 101
Honolulu, Hawaii 96816

DOH RFP COORDINATOR

John MacDonald
Telephone 808 733-9338
Fax 808 733-8375
John.macdonald@doh.hawaii.gov

ALL HAND DELIVERIES SHALL BE ACCEPTED AT THE FOLLOWING SITE UNTIL 3:30 P.M., Hawaii Standard Time (HST), April 30, 2014. Deliveries by private mail services such as FEDEX shall be considered hand deliveries. Hand deliveries shall not be accepted if received after 3:30 p.m., April 30, 2014

Drop-off Sites

Oahu:
Department of Health
Child and Adolescent Mental Health Division
3627 Kilauea Avenue, Room 101
Honolulu, Hawaii 96816

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Section 1

Administrative Overview

Section 1

Administrative Overview

Applicants are encouraged to read each section of the RFP thoroughly. While sections such as the administrative overview may appear similar among RFPs, state purchasing agencies may add additional information as applicable. It is the responsibility of the applicant to understand the requirements of *each* RFP.

1.1 Procurement Timetable

Note that the procurement timetable represents the State's best estimated schedule. If an activity on this schedule is delayed, the rest of the schedule will likely be shifted by the same number of days. Contract start dates may be subject to the issuance of a notice to proceed.

<u>Activity</u>	<u>Scheduled Date</u>
Public notice announcing Request for Proposals (RFP)	March 21, 2014
Distribution of RFP	March 21, 2014
RFP orientation session	March 31, 2014
Closing date for submission of written questions for written responses	April 7, 2014
State purchasing agency's response to applicants' written questions	April 10, 2014
Discussions with applicant prior to proposal submittal deadline (optional)	April 10 – April 28 2014
Proposal submittal deadline	April 30, 2014
Discussions with applicant after proposal submittal deadline (optional)	May 1– May 14
Final revised proposals (optional)	May 21, 2014
Proposal evaluation period	May 1 – May 31, 2014
Provider selection	June 6, 2014
Notice of statement of findings and decision	June 9, 2014
Contract start date	September 01, 2014

1.2 Website Reference

The State Procurement Office (SPO) website is <http://hawaii.gov/spo>

	For	Click on “Doing Business with the State” tab or
1	Procurement of Health and Human Services	http://hawaii.gov/spo/health-human-svcs/doing-business-with-the-state-to-provide-health-and-human-services
2	RFP website	http://hawaii.gov/spo/general/procurement-notice-for-solicitations
3	Hawaii Revised Statutes (HRS) and Hawaii Administrative Rules (HAR) for Purchases of Health and Human Services	http://hawaii.gov/spo/general/statutes-and-rules/procurement-statutes-and-administrative-rules
4	Forms	http://hawaii.gov/spo/statutes-and-rules/general/spo-forms
5	Cost Principles	http://hawaii.gov/spo/health-human-svcs/cost-principles-for-procurement-of-health-and-human-services
6	Standard Contract -General Conditions, AG103F13	http://hawaii.gov/spo/general/gen-cond/general-conditions-for-contracts
7	Protest Forms/Procedures	http://hawaii.gov/spo/health-human-svcs/protestsreqforreconsideration/protests-requests-for-reconsideration-for-private-providers

Non-SPO websites

(Please note: website addresses may change from time to time. If a link is not active, try the State of Hawaii website at <http://hawaii.gov>)

	For	Go to
8	Hawaii Compliance Express (HCE)	https://vendors.ehawaii.gov/hce/splash/welcome.html
9	Department of Taxation	http://hawaii.gov/tax/
10	Wages and Labor Law Compliance, HRS §103-055	http://capitol.hawaii.gov/hrscurrent
11	Department of Commerce and Consumer Affairs, Business Registration	http://hawaii.gov/dcca click “Business Registration”
12	Campaign Spending Commission	http://hawaii.gov/campaign

1.3 Authority

This RFP is issued under the provisions of the Hawaii Revised Statutes (HRS) Chapter 103F and its administrative rules. All prospective applicants are charged with presumptive knowledge of all requirements of the cited authorities. Submission of a valid executed proposal by any prospective applicant shall constitute admission of such knowledge on the part of such prospective applicant.

1.4 RFP Organization

This RFP is organized into five sections:

Section 1, Administrative Overview: Provides applicants with an overview of the procurement process.

Section 2, Service Specifications: Provides applicants with a general description of the tasks to be performed, delineates provider responsibilities, and defines deliverables (as applicable).

Section 3, Proposal Application Instructions: Describes the required format and content for the proposal application.

Section 4, Proposal Evaluation: Describes how proposals will be evaluated by the state purchasing agency.

Section 5, Attachments: Provides applicants with information and forms necessary to complete the application.

1.5 Contracting Office

The Contracting Office is responsible for overseeing the contract(s) resulting from this RFP, including system operations, fiscal agent operations, and monitoring and assessing provider performance. The Contracting Office is:

Department of Health
Child and Adolescent Mental Health Division
Contract Management Section
3627 Kilauea Avenue, Room 101
Honolulu, Hawaii 96816

1.6 RFP Contact Person

From the release date of this RFP until the selection of the successful provider(s), any inquiries and requests shall be directed to the sole point-of-contact identified below.

Contact: John MacDonald Phone: 808-733-9338 Email: john.macdonald@doh.hawaii.gov

1.7 Orientation

An orientation for applicants in reference to the request for proposals will be held as follows:

Date: March 31, 2014 **Time:** 1:00 P.M. to 3:00 P.M.
Location: 3627 Kilauea Avenue, Room 101, Honolulu, Hawaii 96816

Applicants are encouraged to submit written questions prior to the orientation. Impromptu questions will be permitted at the orientation and spontaneous answers provided at the state purchasing agency's discretion. However, answers provided at the orientation are only intended as general direction and may not represent the state purchasing agency's position. Formal official responses will be provided in writing. To ensure a written response, any oral questions should be submitted in writing following the close of the orientation, but no later than the submittal deadline for written questions indicated in the subsection 1.8, Submission of Questions.

1.8 Submission of Questions

Applicants may submit questions to the RFP Contact Person identified in Section 1.6. Written questions should be received by the date and time specified in Section 1.1 Procurement Timetable. The purchasing agency will respond to written questions by way of an addendum to the RFP.

Deadline for submission of written questions:

Date: April 7, 2014 **Time:** 3:30 P.M. HST

State agency responses to applicant written questions will be provided by:

Date: On or about April 10, 2014

1.9 Submission of Proposals

- A. Forms/Formats** - Forms, with the exception of program specific requirements, may be found on the State Procurement Office website referred to in subsection 1.2, Website Reference. Refer to the Section 5, Proposal Application Checklist for the location of program specific forms.
1. **Proposal Application Identification (Form SPOH-200)**. Provides applicant proposal identification.
 2. **Proposal Application Checklist**. The checklist provides applicants specific program requirements, reference and location of required RFP proposal forms, and the order in which all proposal components should be collated and submitted to the state purchasing agency.
 3. **Table of Contents**. A sample table of contents for proposals is located in Section 5, Attachments. This is a sample and meant as a guide. The table of contents may vary depending on the RFP.
 4. **Proposal Application (Form SPOH-200A)**. Applicant shall submit comprehensive narratives that address all proposal requirements specified in Section 3, Proposal Application Instructions, including a cost proposal/budget, if required.

- B. Program Specific Requirements.** Program specific requirements are included in Sections 2 and 3, as applicable. Required Federal and/or State certifications are listed on the Proposal Application Checklist in Section 5.
- C. Multiple or Alternate Proposals.** Multiple or alternate proposals shall not be accepted unless specifically provided for in Section 2. In the event alternate proposals are not accepted and an applicant submits alternate proposals, but clearly indicates a primary proposal, it shall be considered for award as though it were the only proposal submitted by the applicant.
- D. Hawaii Compliance Express (HCE).** All providers shall comply with all laws governing entities doing business in the State. Providers are strongly encouraged to register with HCE for online compliance verification from the Hawaii State Department of Taxation (DOTAX), Internal Revenue Service (IRS), Department of Labor and Industrial Relations (DLIR), and Department of Commerce and Consumer Affairs (DCCA). There is a nominal annual registration fee (currently \$12) for the service. The HCE’s online “Certificate of Vendor Compliance” provides the registered provider’s current compliance status as of the issuance date, and is accepted for both contracting and final payment purposes. Refer to **subsection 1.2, Website Reference**, for Clearance and Compliance department website address for addition option.
- Tax Clearance. Pursuant to HRS §103-53, as a prerequisite to entering into contracts of \$25,000 or more, providers are required to have a tax clearance from DOTAX and the IRS. (See subsection 1.2, Website Reference for DOTAX and IRS website address.)
 - Labor Law Compliance. Pursuant to HRS §103-55, providers shall be in compliance with all applicable laws of the federal and state governments relating to workers' compensation, unemployment compensation, payment of wages, and safety. (See subsection 1.2, Website Reference for DLIR website address.)
 - DCCA Business Registration. Prior to contracting, owners of all forms of business doing business in the state except sole proprietorships, charitable organizations, unincorporated associations and foreign insurance companies shall be registered and in good standing with the DCCA, Business Registration Division. Foreign insurance companies must register with DCCA, Insurance Division. More information is on the DCCA website. (See subsection 1.2, Website Reference for DCCA website address.)
- E. Wages Law Compliance.** If applicable, by submitting a proposal, the applicant certifies that the applicant is in compliance with HRS §103-55, Wages, hours, and working conditions of employees of contractors performing services. Refer to HRS §103-55, at the Hawaii State Legislature website. (See subsection 1.2, Website Reference for DLIR website address.)

- F. Campaign Contributions by State and County Contractors.** HRS §11-355 prohibits campaign contributions from certain State or county government contractors during the term of the contract if the contractors are paid with funds appropriated by a legislative body. Refer to HRS §11-355. (See subsection 1.2, Website Reference for Campaign Spending Commission website address.)
- G. Confidential Information.** If an applicant believes any portion of a proposal contains information that should be withheld as confidential, **the applicant shall request in writing nondisclosure of designated proprietary data to be confidential and provide justification to support confidentiality.** Such data shall accompany the proposal, be clearly marked, and shall be readily separable from the proposal to facilitate eventual public inspection of the non-confidential sections of the proposal.

Note that price is not considered confidential and will not be withheld.

- H. Proposal Submittal.** All mail-ins shall be postmarked by the United States Postal System (USPS) and received by the State purchasing agency no later than the submittal deadline indicated on the attached Proposal Mail-in and Delivery Information Sheet, or as amended. All hand deliveries shall be received by the State purchasing agency by the date and time designated on the Proposal Mail-In and Delivery Information Sheet, or as amended. Proposals shall be rejected when:
1. Postmarked after the designated date; or
 2. Postmarked by the designated date but not received within 10 days from the submittal deadline; or
 3. If hand delivered, received after the designated date and time.

The number of copies required is located on the Proposal Mail-In and Delivery Information Sheet. Deliveries by private mail services such as FEDEX shall be considered hand deliveries and shall be rejected if received after the submittal deadline. Dated USPS shipping labels are not considered postmarks.

Proposals shall follow General Instructions for completing applications as stated in Section 3 Proposal Application Instructions. Include CD with Original Proposal binder.

1.10 Discussions with Applicants

- A. Prior to Submittal Deadline.** Discussions may be conducted with potential applicants to promote understanding of the purchasing agency's requirements.
- B. After Proposal Submittal Deadline.** Discussions may be conducted with applicants whose proposals are determined to be reasonably susceptible of being selected for award, but proposals may be accepted without discussions, in accordance with HAR §3-143-403.

1.11 Opening of Proposals

Upon the state purchasing agency's receipt of a proposal at a designated location, proposals, modifications to proposals, and withdrawals of proposals shall be date-stamped, and when possible, time-stamped. All documents so received shall be held in a secure place by the state purchasing agency and not examined for evaluation purposes until the submittal deadline.

Procurement files shall be open to public inspection after a contract has been awarded and executed by all parties.

1.12 Additional Materials and Documentation

Upon request from the state purchasing agency, each applicant shall submit additional materials and documentation reasonably required by the state purchasing agency in its evaluation of the proposals.

1.13 RFP Amendments

The State reserves the right to amend this RFP at any time prior to the closing date for final revised proposals.

1.14 Final Revised Proposals

If requested, final revised proposals shall be submitted in the manner and by the date and time specified by the state purchasing agency. If a final revised proposal is not submitted, the previous submittal shall be construed as the applicant's final revised proposal. *The applicant shall submit **only** the section(s) of the proposal that are amended, along with the Proposal Application Identification Form (SPOH-200).* After final revised proposals are received, final evaluations will be conducted for an award.

1.15 Cancellation of Request for Proposal

The RFP may be canceled and any or all proposals may be rejected in whole or in part, when it is determined to be in the best interest of the State.

1.16 Costs for Proposal Preparation

Any costs incurred by applicants in preparing or submitting a proposal are the applicants' sole responsibility.

1.17 Provider Participation in Planning

Provider(s), awarded a contract resulting from this RFP,

are required

are not required

to participate in the purchasing agency's future development of a service delivery plan pursuant to HRS §103F-203.

Provider participation in a state purchasing agency's efforts to plan for or to purchase health and human services prior to the release of a RFP, including the sharing of information on community needs, best practices, and providers' resources, shall not disqualify providers from submitting proposals, if conducted in accordance with HAR §§3-142-202 and 3-142-203.

1.18 Rejection of Proposals

The State reserves the right to consider as acceptable only those proposals submitted in accordance with all requirements set forth in this RFP and which demonstrate an understanding of the problems involved and comply with the service specifications. Any proposal offering any other set of terms and conditions contradictory to those included in this RFP may be rejected without further notice.

A proposal may be automatically rejected for any one or more of the following reasons:

- (1) Rejection for failure to cooperate or deal in good faith. (HAR §3-141-201)
- (2) Rejection for inadequate accounting system. (HAR §3-141-202)
- (3) Late proposals (HAR §3-143-603)
- (4) Inadequate response to request for proposals (HAR §3-143-609)
- (5) Proposal not responsive (HAR §3-143-610(a)(1))
- (6) Applicant not responsible (HAR §3-143-610(a)(2))

1.19 Notice of Award

A statement of findings and decision shall be provided to each responsive and responsible applicant by mail upon completion of the evaluation of competitive purchase of service proposals.

Any agreement arising out of this solicitation is subject to the approval of the Department of the Attorney General as to form, and to all further approvals, including the approval of the Governor, required by statute, regulation, rule, order, or other directive.

No work is to be undertaken by the provider(s) awarded a contract prior to the contract commencement date. The State of Hawaii is not liable for any costs incurred prior to the official starting date.

1.20 Protests

Pursuant to HRS §103F-501 and HAR Chapter 148, an applicant aggrieved by an award of a contract may file a protest. The Notice of Protest form, SPOH-801, and related forms are available on the SPO website. (See subsection 1.2, Website Reference for website address.) Only the following matters may be protested:

- (1) A state purchasing agency's failure to follow procedures established by Chapter 103F of the Hawaii Revised Statutes;
- (2) A state purchasing agency's failure to follow any rule established by Chapter 103F of the Hawaii Revised Statutes; and
- (3) A state purchasing agency's failure to follow any procedure, requirement, or evaluation criterion in a request for proposals issued by the state purchasing agency.

The Notice of Protest shall be postmarked by USPS or hand delivered to 1) the head of the state purchasing agency conducting the protested procurement and 2) the procurement officer who is conducting the procurement (as indicated below) within five working days of the postmark of the Notice of Findings and Decision sent to the protestor. Delivery services other than USPS shall be considered hand deliveries and considered submitted on the date of actual receipt by the state purchasing agency.

Head of State Purchasing Agency	Procurement Officer
Name: Linda Rosen, M.D., M.P.H.	Name: M. Stanton Michels, M.D.
Title: Director of Health	Title: CAMHD Administrator
Mailing Address: P.O. Box 3378 Honolulu, Hawaii 96801	Mailing Address: 3627 Kilauea Avenue, Room 101 Honolulu, Hawaii 96816
Business Address: 1250 Punchbowl Street Honolulu, Hawaii 96813	Business Address: Same as Above

1.21 Availability of Funds

The award of a contract and any allowed renewal or extension thereof, is subject to allotments made by the Director of Finance, State of Hawaii, pursuant to HRS Chapter 37, and subject to the availability of State and/or Federal funds.

1.22 General and Special Conditions of Contract

The general conditions that will be imposed contractually are on the SPO website. (See subsection 1.2, Website Reference for website address.) Special conditions may also be imposed contractually by the state purchasing agency, as deemed necessary.

1.23 Cost Principles

To promote uniform purchasing practices among state purchasing agencies procuring health and human services under HRS Chapter 103F, state purchasing agencies will utilize standard cost principles outlined in Form SPOH-201, which is available on the SPO website. (See subsection 1.2 Website Reference for website address.) Nothing in

this section shall be construed to create an exemption from any cost principle arising under federal law.

Section 2

Service Specifications

Section 2

Service Specifications

2.1 Introduction

The Hawaii Revised Statutes (321-172) require the Child and Adolescent Mental Health Division (“CAMHD”) to coordinate the effective and efficient delivery of mental health services to children and youth, including services provided by private nonprofit agencies under contract to the Department of Health, and to be responsible for the development and implementation of centralized and highly specialized programs for children and youth.

The Department of Health receives federal block grant funds from the Substance Abuse and Mental Health Services Administration under the Community Mental Health Services (“CMHS”) Block Grant program. Title XIX, Part B, Subpart I, as amended, Public Law 106-310 establishes a Block Grant for Community Mental Health Services administered by CMHS, which permits the allocation of funds to States for the provision of community mental health services. Each year the CAMHD develops a state plan for the provision of children’s mental health services as identified in its application for federal block grant funds.

This children’s mental health state plan is developed in accordance with federal law (106-310), which requires the submission of an annual plan in order to qualify for federal funding in the area of community mental health services. The focus of the Community Mental Health Services Block Grant program, as administered by the CMHS is the provision of comprehensive community-based mental health services to adults with a serious mental illness and to children with serious emotional disturbance. The CAMHD’s system of care is based on the Hawaii Child and Adolescent Service System Program (CASSP) principles (See Section 5, Attachment D), requiring that the system be family and child-centered, with the delivery of services, programs and placements in the least restrictive setting for the individual and family.

For FY 2014-2015 CAMHD plans to use the block grant funds to support one program that promote the mental health of homeless children and their families in rural areas.

A. Overview, purpose or need

In the U.S., over one-fourth of the homeless population is children. Forty-two percent of these children are under the age of five. Over the course of a year, as many as 1.4 million children experience homelessness. The literature on the health of homeless children documents learning disabilities, behavior disorders and emotional problems. Research suggests that persistent homelessness amplifies mental health risks.

The experience of homelessness results in a loss of community, routines, possessions, privacy, and security. For youth, homelessness can create a loss of stability, disruption in education, increased food insecurity, and increased exposure to disease, violence and substance abuse. Anxiety, loss of sleep, frequent illness and hunger can contribute to learning disabilities. These conditions can trigger or exacerbate emotional problems in children.

Childhood Worries:

- 74% of homeless children worry they will have no place to live
- 58% worry they will have no place to sleep
- 87% worry that something bad will happen to their family

Homelessness affects cognitive and emotional development:

- Homeless babies show significantly slower development than other children.
- More than 20% of homeless children between the ages of 3 and 6 have emotional problems serious enough to require professional care
- By the time homeless children are 8 years old, one in three has a major mental disorder
- About half of homeless school-age children have significant mental health problems including anxiety, depression, posttraumatic stress disorder (PTSD), suicide ideation and substance abuse disorders
- Less than one-third of homeless children receive mental health treatment

Additionally,

- Homeless children go hungry at twice the rate of other children
- 25% have witnessed acts of violence in their families
- Homeless children experience physical and sexual abuse at two to three times the rate of other children
- Homeless children are separated from their family to be put in foster care at higher rates than other children (12% vs. 1%)

Trauma in the Lives of Homeless Youth

Rates of abuse among homeless youth vary widely across studies, ranging from 17%-35% for sexual abuse and 40%-60% for physical abuse and neglect. Two studies conducted for the U.S. Department of Human Services found 46% of runaway and homeless youth reported being physically abused, 17% reported being sexually exploited, and 38% reported being emotionally abused. The National Center on Family Homelessness estimates that 35% of homeless children have been the subject of a child protection investigation and 24% have witnessed acts of violence within their family.

Youth who take to the streets are vulnerable to further victimization by predatory adults, criminals, pimps and other street youth. They may be exploited or become victims of street violence or members of gangs which provide protection and a sense of family. They may be drawn into criminal activity or illicit sex in order to earn money for food, shelter, clothing and other basic needs. They often drop out of school,

forfeiting their opportunities to learn and to become independent, self-sufficient, contributing members of society. In one study, 43% of homeless adolescent males and 39% of adolescent females reported being assaulted with a weapon while living on the streets. Youth identifying as gay, lesbian, bisexual, transgender or questioning (“GLBTQ”) will experience 7.4 more acts of sexual violence than other homeless youth.

The situations for homeless youth can appear hopeless. One survey found that 25% of youth in shelters and 32% of those on the street had attempted suicide. GLBTQ youth are more than twice as likely to attempt suicide as their heterosexual peers. The stresses associated with homelessness can exacerbate other trauma-related difficulties and interfere with trauma recovery.

Homeless children frequently survive with little or no contact with medical professionals, and as a result, their health problems may go untreated and worsen. Their emotional and behavioral health issues are typically not addressed. Without the intervention and support of community institutions, these youth may not acquire the personal and work skills that will enable them to become self-sufficient and independent. The end result for homeless children and youth is that without adequate intervention, supports and services, there may be long term health and emotional/behavioral problems.

Therefore, the CAMHD seeks to support one program to promote the mental health of homeless children and their families in rural areas. Services and supports may also be provided to the families of the children.

B. Planning activities conducted in preparation for this RFP

CAMHD conducted a Request for Information on November 4, 2013

C. Description of the service goals

Support for the Mental Health for Homeless Children and their Families

The goal of the Program is to provide mental health services to homeless children and their families. Applicants will be required to identify program-specific goals, objectives and outcomes in their proposals.

Trauma-Informed Care

Many of the homeless population, adults and children, struggle to recover from both previous and new traumatic experiences. Providers are encouraged to provide trauma-informed care. Strategies to promote trauma-informed care include:

- Identify and address agency policies and procedures that could traumatize by inadvertently causing people to relive their traumatic experiences before they have the knowledge and skills to cope.

- Offer assistance with no strings attached.
- Consider the person’s behavior in the context of their life experiences, including traumatic life experiences.
- Prioritize children’s and families immediate needs. Persons with unmet primary needs may have difficulty focusing on forming trusting relationships with service providers.
- During assessment, determine the youth’s strengths and talents, rather than focusing only on problems and deficits.
- Allow homeless persons to make their own choices whenever possible.
- Assess the individual’s cognitive abilities so that appropriate language can be used for better understanding.
- Tailor interactions and services to the individual needs of each person.
- Recognize that people may have co-occurring disorders and other mental health problems that need to be addressed.

(see: The National Child Traumatic Stress Network. (2007). Trauma Among Homeless Youth (Culture and Trauma Brief, Volume 2, No. 1). Available at www.NCTSN.org)

D. Description of the target population to be served

Homeless children are defined as any person up to the age of twenty-one (21) years of age, who lacks a fixed, regular and adequate nighttime residence. These include those who live in motels, hotels, beaches or camping grounds due to the lack of alternative adequate accommodations, are in other temporary living situations, or are sharing the housing of others.

Definition of Terms

Mental Health Services and Support – professional staff or other mental health professionals providing mental health counseling, such as crisis intervention, support in managing problem behaviors, and warm hand-off for more intensive or longer term mental health services.

Alcohol and Other Drug (“AOD”) counseling –Certified Substance Abuse Counselor (“CSAC”) staff providing AOD services

Basic Needs – food, clothing, school/work supplies, educational material and other basic needs provided to youth without conditions

Recreation and Support Groups – regularly held groups for the purpose of relationship building and/or mental health support

Medical/Dental/Health Services – Professional medical or health services by a doctor, dentist, dental hygienist, nutritionist or nurse

Transitional Housing (group setting, scattered sites, shared home) – housing for youth or families with supportive services on site.

Skills Training – providing training and counseling in life skills such as budgeting, leasing an apartment, etc.

Crisis Management – Immediate problem solving to handle urgent or dangerous events; provision of intervention or guidance to diffuse a crisis, or summoning emergency medical or first responder services. Support, consultation and referral are provided until it is ascertained that there is sufficient natural supports for youth to remain safe in the community.

E. Geographic coverage of service

The geographic coverage of service shall be in a rural area, outside urban Honolulu.

F. Probable funding amounts, source, period of availability

Funding Source:	Federal Funds
Period of Availability:	September 1, 2014– June 30, 2015
Anticipated Total Available Funding:	\$90,000.00 per twelve month period
Estimated Award Amount:	\$75,000.00 for initial ten (10) months
Estimated Number of Awards:	One
Estimated length of contract	Renewable for five addition years

Annual continuation awards will depend on the availability of federal funds, progress in meeting project goals and objectives, timely submission of data and reports and compliance with all terms and conditions of the contract.

2.2 Contract Monitoring and Evaluation

The criteria by which the performance of the contract will be monitored and evaluated are:

- (1) Performance/Outcome Measures
- (2) Quality of Care/Quality of Services
- (3) Financial Management
- (4) Administrative Requirements

2.3 General Requirements

A. Specific qualifications or requirements, including but not limited to licensure or accreditation

1. Cost Principles Compliance

The provider will comply with the Chapter 103F, HRS Cost Principles for Purchases of Health and Human Services identified in SPO-H-201 (Effective 10/1/98), which can be found in the POS Manual.

2. Other Applicable Requirements

The provider shall complete, execute and submit to the state purchasing agency a certification regarding the following:

- a. Federal Certification- Attachment C
- b. Form W-9 Request for Taxpayer Identification Number-Attachment F

B. Secondary purchaser participation

(Refer to HAR §3-143-608)

After-the-fact secondary purchases will be allowed.

Planned secondary purchases

NONE

C. Multiple or alternate proposals

(Refer to HAR §3-143-605)

Allowed Unallowed

D. Single or multiple contracts to be awarded

(Refer to HAR §3-143-206)

Single Multiple Single & Multiple

Criteria for multiple awards:

NONE

E. Single or multi-term contracts to be awarded

(Refer to HAR §3-149-302)

Single term (2 years or less) Multi-term (more than 2 years)

Contract terms:

Initial term of contract	September 1, 2014 – June 30, 2015
Length of each extension	Twelve (12) months
Number of possible extensions	Five (5) twelve (12) months periods
Maximum length of contract	Six (6) years
The initial period shall commence on the contract start date or Notice to proceed, whichever is later	*****

2.4 Scope of Work

The scope of work encompasses the following tasks and responsibilities:

Homeless children and youth and their families experience multiple life stresses that can negatively impact their mental health and wellness. The literature on the health of homeless children and youth documents learning disabilities, behavior disorders and emotional problems. Further, research suggests that persistent homelessness amplifies mental health risks.

A. Service Activities

Outreach and support services

Provide culturally competent outreach to homeless children and their families along the Waianae Coast, including those who live along the beach. Establish relationships with the families and conduct assessments to identify the strengths, needs and goals of the families, and support the achievement of the goals.

Provide advocacy and warm-handoffs to community-based agencies. Services and supports shall be individualized to meet the needs and goals of the youth and their families, including assistance to resume/maintain school attendance, and referrals to health, mental health and other services. Provide follow-up services to ensure that any critical issues are stabilized and that appropriate linkages have been made.

Engage, motivate, and empower youth and their families in order to strengthen their support systems and capacity to act on their own behalf.

Provide access to basic needs by providing, or coordinating access to, food, clothing, hygiene supplies, school supplies, bus passes, and household items.

Mental health services

Provide mental health services. Services may include:

- a. Individual counseling focused on improving individual functioning. Therapy includes interventions such as cognitive-behavioral strategies, trauma-informed care, motivational interviewing, psycho-education, skills training, safety and crisis planning, and facilitating access to other community services and supports. These therapeutic services are designed to promote healthy independent functioning.

- b. Group therapy of three or more youth for the purpose of addressing symptoms and problems that prevent the development of healthy functioning in the home, school or community. These therapy services are designed to teach specific skills for addressing symptoms or challenges, to provide support for the use of these skills and to provide psycho-education about mental health issues. This can include groups that address youths' needs utilizing a multi-family group format in which the parents or guardian attend the group along with the youth.
- c. Family therapy designed to improve family functioning and treat the youth's emotional challenges. The therapist helps the youth and family increase their use of effective coping strategies, healthy communication, and constructive problem-solving skills.

Crisis management

Provide crisis intervention and counseling. Identify and provide immediate problem solving approaches to handle urgent or dangerous events, such as the provision of intervention or guidance to diffuse a crisis, or summon emergency (police, fire, emergency medical services, or child protective services), as appropriate.

Education support

Provide linkage and support with educational resources to children from preschool to high school. Assist with efforts to link youth with poor scholastic achievement to mentoring and tutoring resources and alternative learning opportunities.

Community coordination and collaboration

Establish and maintain working relationships with other community organizations to share resources and jointly serve the target population.

Cultural competence

All services shall be provided in a sensitive, culturally appropriate and nonjudgmental manner.

Trauma informed care

The program shall provide trauma-informed care and will establish policies and procedures to provide trauma-informed services.

Performance

Establish performance and numerical targets to identify and track the impact of the program services and on the progress of program participants.

Trauma-Informed Care. The program shall provide trauma-informed care and will establish policies & procedures to provide trauma-informed services.

B. Requirements (Minimum and/or mandatory requirements)

1. Personnel

The provider shall recruit individuals qualified to provide behavioral health support, and individuals with experience working with the target population or individuals who have previously been homeless. The provider must detail if using an employment or personnel subcontract model. The provider shall describe efforts to recruit volunteers and community members in providing services to this population.

The provider shall ensure that program staff and volunteers receive adequate support, training, and supervision. The training shall include safety and emergency procedures, confidentiality, child and youth development, child abuse reporting, suicide prevention, domestic violence, substance abuse, and cultural competence.

Ensure that all staff, including volunteers, in positions that necessitate direct involvement or close proximity to youth do not have a criminal history or background that poses a risk to youth. Employment and reference checks on all employees, including volunteers, shall be conducted. In addition, criminal history record checks (State and FBI Criminal History Check, Sex Offender Registry, and the Child Abuse and Neglect Registry Clearance) shall be conducted, as allowed or required by statutes or rules, for any person who is employed or volunteers in a position that necessitates close proximity to children or adolescents. Documentation of criminal history record checks shall be maintained in the employee's or volunteer's personnel file and shall be available for review. Criminal history record checks, except for the FBI fingerprint check, shall be conducted annually.

2. Administrative

The provider is required to provide all necessary administrative and managerial infrastructures to support the provision of services.

The provider must detail the agency organizational and management structure. The provider must detail the supervisory structure and its supervisory practices.

The provider shall demonstrate its ability to assess, plan and provide staff development opportunities for its volunteers and staff.

3. Quality assurance and evaluation specifications

All contracts will be required to establish written policies and standard operating procedures to ensure policies and procedures are implemented uniformly and consistently across the organization. The policies and procedures shall allow for flexibility to account for individualized approaches and needs.

All providers are required to have written policies and procedures (“P & P”) regarding safety and emergency procedures, confidentiality, child abuse reporting, crisis intervention and suicide prevention. The provider shall assure that policies and procedures will be trauma-informed and culturally competent. The provider shall have written policies and procedures on risk management, theft and loss prevention, and use of portable electronic devices.

All providers are required to participate in State Council on Mental Health reviews and CAMHD contract monitoring, which includes the administrative, fiscal, and programmatic reviews. Monitoring will be conducted annually and/or on an as needed basis.

4. Output and performance/outcome measurements

The final output and performance/outcome measures will be based on the provider’s proposal and will be negotiated post-award. Outcome results and encounter data will serve as measures of the program’s performance and shall be reported to CAMHD on a quarterly basis, due thirty (30) days after the end of the quarter. An annual cumulative report shall be due thirty (30) days after the end of each year.

5. Experience

The provider shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the delivery of the proposed services to homeless populations. The provider shall also provide a listing of verifiable experience with projects or contracts that is pertinent to the proposed services. **CAMHD reserves the right to contact references to verify experience.**

6. Coordination of services

The provider shall demonstrate its experience collaborating with community partners, including those agencies with services that are relevant to the homeless population.

7. Reporting requirements for program and fiscal data

The Provider shall submit narrative program reports and expenditure reports within 30 days after the end of the quarter in a format prescribed by the CAMHD. The narrative reports shall include a reporting of the numbers served, a description of the quarter's activities, and a reporting of outcome measures achieved.

The Provider shall submit an annual cumulative narrative report and final expenditure report within 30 days after the end of the budget period. The annual narrative report shall be a cumulative report summarizing the entire budget period and shall include a reporting of the total number served, a description of the highlights and successes of the program, a description of any challenges encountered and the measures to address the problems, and a cumulative summary of outcome measures achieved.

The Provider shall submit a single page document listing the total of federal funds receives from all sources stating whether they exceed \$500,000 or more annually.

Audit Requirements

- a. Nonprofit organizations that expend \$500,000 or more in a year of federal funds from any source shall have a single audit conducted for that year in accordance with the Single Audit Act Amendments of 1996, Public Law 104-156. The audit shall adhere to the Guidelines for Organization-Wide Audits.
- b. If the preceding condition applies, PROVIDER shall conduct a financial and compliance audit in accordance with the Single Audit Act Amendments of 1996 guidelines. Failure to comply may result in the withholding of payments to the provider.
- c. Nonprofit organizations that expend less than \$500,000 a year in federal funds are exempt from federal audit requirements for that year, however, records shall be available for review or audit by appropriate officials of the federal awarding agency, the State or General Accounting Office.
- d. If PROVIDER is exempt from federal audit requirements in accordance with subparagraph (c) above, the cost of any audit conducted on behalf of the provider shall not be charged to the federal portion of this contract

C. Facilities

Not applicable to award

2.5 COMPENSATION AND METHOD OF PAYMENT

A. Fixed Price

In this pricing structure, one price for the entire program is set in the RFP by the purchasing agency. The provider furnishes a reasonable estimate of services it can provide for which there is sufficient operating capacity (adequate, planned and budgeted space, equipment and staff).

B. Units of service and unit rate

Not Applicable.

C. Method of compensation and payment

Payment shall be made monthly upon submission of invoices. Invoices shall be submitted in triplicate with supporting documents. At the end of the budget period, the state may withhold the final quarter payment for the provider's failure to perform and insufficient fiscal documentation.

Section 3

Proposal Application Instructions

Section 3

Proposal Application Instructions

General instructions for completing applications:

- *Proposal Applications shall be submitted to the state purchasing agency using the prescribed format outlined in this section.*
- *The numerical outline for the application, the titles/subtitles, and the applicant organization and RFP identification information on the top right hand corner of each page should be retained. The instructions for each section however may be omitted.*
- *Page numbering of the Proposal Application should be consecutive, beginning with page one and continuing through for each section. See sample table of contents in Section 5.*
- *Proposals shall be submitted in a three ring binder*
- *Tabbing of sections (Required).*
- *Applicants must also include a Table of Contents with the Proposal Application. A sample format is reflected in Section 5, Attachment B of this RFP.*
- *A written response is required for **each** item unless indicated otherwise. Failure to answer any of the items will impact upon an applicant's score.*
- *Applicants are **strongly** encouraged to review evaluation criteria in Section 4, Proposal Evaluation when completing the proposal.*
- *This form (**SPOH-200A**) is available on the SPO website (see 1.2 Website Reference). However, the form will not include items specific to each RFP. If using the website form, the applicant must include all items listed in this section.*

The Proposal Application is comprised of the following sections:

- *Proposal Application Identification Form*
- *Table of Contents*
- *Program Overview*
- *Experience and Capability*
- *Project Organization and Staffing*
- *Service Delivery*
- *Financial*
- *Other*

3.1 Program Overview

Applicant shall give a brief overview to orient evaluators as to the program/services being offered.

3.2 Experience and Capability

A. Necessary Skills

The applicant shall demonstrate that it has the necessary skills, abilities, and knowledge relating to the delivery of the proposed services.

B. Experience

The applicant shall provide a description of projects/contracts pertinent to the proposed services. See Section II Paragraph B. 5. Applicant shall include points of contact, addresses, e-mail/phone numbers. The State reserves the right to contact reference to verify experience.

C. Quality Assurance and Evaluation

The applicant shall describe its own plans for quality assurance and evaluation for the proposed services, including methodology.

D. Coordination of Services

The applicant shall demonstrate the capability to coordinate services with other agencies and resources in the community.

E. Facilities

Not Applicable

3.3 Project Organization and Staffing

A. Staffing

1. Proposed Staffing

The applicant shall describe the proposed staffing pattern, client/staff ratio and proposed caseload capacity appropriate for the viability of the services. (Refer to the personnel requirements in the Service Specifications, as applicable.)

2. Staff Qualifications

The applicant shall provide the minimum qualifications (including experience) for staff assigned to the program. (Refer to the qualifications in the Service Specifications, as applicable)

B. Project Organization

1. Supervision and Training

The applicant shall describe its ability to supervise, train and provide administrative direction relative to the delivery of the proposed services.

2. Organization Chart

The applicant shall reflect the position of each staff and line of responsibility/supervision. (Include position title, name and full time equivalency) Both the “Organization-wide” and “Program” organization charts shall be attached to the Proposal Application.

3.4 Service Delivery

Applicant shall include a detailed discussion of the applicant’s approach to applicable service activities and management requirements from Section 2, Item 2.1, Scope of Work, including (if indicated) a work plan of all service activities and tasks to be completed, related work assignments/responsibilities and timelines/schedules.

The applicant shall describe in detail how the organization will provide the proposed services and how the organization will maintain sufficient capacity to ensure the level of services proposed. The applicant shall describe how the organization will ensure the provision of services in the most accessible and convenient location(s) for homeless children and youth and their families.

The applicant shall describe the organization’s efforts to support children, youth, and/or families during times of crisis, and efforts to ensure linkages to crisis support services.

The applicant shall provide in narrative form the program rationale logic model that explains how the proposed services meet the stated needs of the target population. The applicant should describe existing services and how the proposed services, together with existing services, will positively impact the lives of the target population. The applicant shall identify the outcomes and/or quantitative targets for each of the proposed services in the first full year.

3.5 Financial

A. Pricing Structure

Applicant shall submit a cost proposal utilizing the pricing structure designated by the state purchasing agency. The cost proposal shall be attached to the Proposal Application. The initial year’s budget of seventy-five thousand (\$75,000.00) dollars will be for ten (10) months. Future annual budgets will be for twelve (12) month period and are currently estimated to be ninety thousand (\$90,000.00) dollars.

All budget forms, instructions and samples are located on the SPO website. (See subsection 1.2, Websites References for website address.) The following budget form(s) shall be submitted with the Proposal Application:

- SPO-H-205 Budget
- SPO-H-206A Personnel Salaries and Wages
- SPO-H-206B Personnel Payroll Taxes, Assessments & Fringe
- SPO-H-206C Travel Inter-Island
- SPO-H-206E Contractual Services - Admin
- SPO-H-206F Contractual Services - Subcontractors
- SPO-H-206G Depreciation
- SPO-H-206H Program Activities
- SPO-H-206I Budget Justification – Equipment Purchase

B. Other Financial Related Materials

1. Accounting System

To determine the adequacy of the applicant's accounting system as described under the administrative rules, the following document is requested as part of the Proposal Application (may be attached):

- The agency's most recent financial audit is requested as part of the Proposal Application.

3.6 Other

A. Litigation

The applicant shall disclose and explain any pending litigation to which they are a party, including the disclosure of any outstanding judgment.

Section 4

Proposal Evaluation

Section 4

Proposal Evaluation

4.1 Introduction

The evaluation of proposals received in response to the RFP will be conducted comprehensively, fairly and impartially. Structural, quantitative scoring techniques will be utilized to maximize the objectivity of the evaluation.

4.2 Evaluation Process

The procurement officer or an evaluation committee of designated reviewers selected by the head of the state purchasing agency or procurement officer shall review and evaluate proposals. When an evaluation committee is utilized, the committee will be comprised of individuals with experience in, knowledge of, and program responsibility for program service and financing.

The evaluation will be conducted in three phases as follows:

- Phase 1 - Evaluation of Proposal Requirements
- Phase 2 - Evaluation of Proposal Application
- Phase 3 - Recommendation for Award

Evaluation Categories and Thresholds

<u>Evaluation Categories</u>	<u>Possible Points</u>
<i>Administrative Requirements</i>	
<i>Proposal Application</i>	
Program Overview	0 points
Experience and Capability	25 points
Project Organization and Staffing	20 points
Service Delivery	45 points
Financial	10 Points
TOTAL POSSIBLE POINTS	100 Points

4.3 Evaluation Criteria

A. Phase 1 - Evaluation of Proposal Requirements

1. Administrative Requirements

Certificate of Vendor Compliance-Compliant
Federal Certifications
Form W-9 Taxpayer Identification

2. Proposal Application Requirements

- Proposal Application Identification Form (Form SPOH-200)
- Table of Contents
- Program Overview
- Experience and Capability
- Project Organization and Staffing
- Service Delivery
- Financial (All required forms and documents)
- Program Specific Requirements (as applicable)

**B. Phase 2 - Evaluation of Proposal Application
(100 Points)**

Program Overview: No points are assigned to Program Overview. The intent is to give the applicant an opportunity orient evaluators as to the service(s) being offered.

1. Experience and Capability (25 Points)

The State will evaluate the experience and capability relevant to the proposal contract, which shall include:

A. Necessary Skills;

- Demonstrates the applicant’s ability to provide and manage the proposed services in accordance with contractual obligations. [5 points]
- Thoroughly describes the applicant’s commitment to serving and supporting the target population in a community-based, culturally competent manner. [5 points]

B. Experience

- Demonstrates the skills, abilities, and knowledge relating to the delivery of the proposed services for the specific population. [5 points]

C. Quality Assurance and Evaluation

- Sufficiency of quality assurance and evaluation plans for the proposed services, including methodology. [5 points]

D. Coordination of Services

- Demonstrated capability to coordinate services with other agencies and resources in the community. [5 points]

2. Project Organization and Staffing (20 Points)

The State will evaluate the applicant's overall staffing approach to the service that shall include:

A. Staffing

- Proposed Staffing: That the proposed staffing pattern, client/staff ratio, and proposed caseload capacity is reasonable to insure viability of the services. [5 points]

- Demonstrates commitment to recruit individuals with experience working with the target population or individuals who are or have been homeless. [5 points]
- The proposed staff are qualified and experienced in providing mental health services and support. [5 points]

B. *Project Organization*

- **Supervision and Training:** Demonstrated ability to support, supervise, train, and provide direction to staff relative to the delivery of the proposed services. [3 points]
- **Organization Chart:** Approach and rationale for the structure, functions, and staffing of the proposed organization for the overall service activity and tasks. [2 points]

3. Service Delivery (45) Points)

Evaluation criteria for this section will assess the applicant's approach to the service activities and the management requirements outlined in the Proposal Application.

- **Statement of Need –** Adequately describes and justifies the needs of the target population. [5 points]
- The proposed services logically meet the needs identified in the Statement of Need. [8 points]

- The goals and outcomes of the program appear reasonable and achievable based on the budget, program resources, and timeline. [7 points]
- The proposed services complement the organization's services in such a way as to increase the impact of the combined program. [5 points]
- Demonstrates understanding of the cultural needs of the population and community to be served, including unique factors and supports within the proposed geographic region(s). [5 points]
- The proposed services consider the developmental stage of the children in the proposed population, and propose strategies to support their growth and development. [5 points]
- The quantity and frequency of the proposed services are adequate to meet the needs of the target population.[5 points]
- Demonstrates commitment to assuring that policies and procedures of the program will be trauma-informed and culturally competent. [5 points]

5. *Financial (10 Points)*

- Proposal budget is reasonable, given program resources and operational capacity. [5 points]

- Proposal leverages resources and proposes cost - efficient and cost-sharing strategies. [5 points]

B. Phase 3 - Recommendation for Award

Each notice of award shall contain a statement of findings and decision for the award or non-award of the contract to each applicant.

Section 5

Attachments

- A. Proposal Application Checklist
- B. Sample Table of Contents
- C. Federal Certifications
- D. Hawaii Child & Adolescent Service System Program Principles
- E. Guidelines For Organization-Wide Audits
- F. Request for Taxpayer Identification Number

Attachment A

Proposal Application Checklist

Proposal Application Checklist

Applicant: _____ RFP No.: _____

The applicant's proposal must contain the following components in the order shown below. Return this checklist to the purchasing agency as part of the Proposal Application. SPOH forms are on the SPO website.

Item	Reference in RFP	Format/Instructions Provided	Required by Purchasing Agency	Applicant to place "X" for items included in Proposal
General:				
Proposal Application Identification Form (SPOH-200)	Section 1, RFP	SPO Website*	X	
Proposal Application Checklist	Section 1, RFP	Attachment A	X	
Table of Contents	Section 5, RFP	Section 5, RFP Attachment B	X	
Proposal Application (SPOH-200A)	Section 3, RFP	SPO Website*	X	
Hawaii Compliance Express Verification Certificate	Section 1, RFP	Hawaii Compliance Express SPO Website*	X	
Cost Proposal (Budget)				
SPO-H-205	Section 3, RFP	SPO Website*	X	
SPO-H-205A	Section 3, RFP	SPO Website* Special Instructions are in Section 5		
SPO-H-205B	Section 3, RFP,	SPO Website* Special Instructions are in Section 5		
SPO-H-206A	Section 3, RFP	SPO Website*	X	
SPO-H-206B	Section 3, RFP	SPO Website*	X	
SPO-H-206C	Section 3, RFP	SPO Website*	X	
SPO-H-206D	Section 3, RFP	SPO Website*	X	
SPO-H-206E	Section 3, RFP	SPO Website*	X	
SPO-H-206F	Section 3, RFP	SPO Website*	X	
SPO-H-206G	Section 3, RFP	SPO Website*	X	
SPO-H-206H	Section 3, RFP	SPO Website*	X	
SPO-H-206I	Section 3, RFP	SPO Website*	X	
SPO-H-206J	Section 3, RFP	SPO Website*	X	
Certifications:		Attachment C	X	
<i>Federal Certifications</i>		Section 5, RFP		
Debarment & Suspension		Section 5, RFP		
Drug Free Workplace		Section 5, RFP		
Lobbying		Section 5, RFP		
Program Fraud Civil Remedies Act		Section 5, RFP		
Environmental Tobacco Smoke		Section 5, RFP		
Program Specific Requirements:				
CASSP		Attachment D	X	
Guidelines for Organization-wide Audits		Attachment E	X	
Form W-9 Taxpayer Identification		Attachment F	X	
* Refer to subsection 1.2, Website Reference for website address.				

Attachment B

Sample Table of Contents

Sample

Proposal Application Table of Contents

1.0	Program Overview	1
2.0	Experience and Capability	1
	A. Necessary Skills	2
	B. Experience.....	4
	C. Quality Assurance and Evaluation.....	5
	D. Coordination of Services.....	6
	E. Facilities	6
3.0	Project Organization and Staffing	7
	A. Staffing.....	7
	1. Proposed Staffing	7
	2. Staff Qualifications	9
	B. Project Organization	10
	1. Supervision and Training	10
	2. Organization Chart (Program & Organization-wide) (See Attachments for Organization Charts	
4.0	Service Delivery	12
5.0	Financial	20
	See Attachments for Cost Proposal	
6.0	Litigation	20
7.0	Attachments	
	A. Cost Proposal	
	SPO-H-205 Proposal Budget	
	SPO-H-206A Budget Justification - Personnel: Salaries & Wages	
	SPO-H-206B Budget Justification - Personnel: Payroll Taxes and Assessments, and Fringe Benefits	
	SPO-H-206C Budget Justification - Travel: Interisland	
	SPO-H-206E Budget Justification - Contractual Services – Administrative	
	B. Other Financial Related Materials	
	Financial Audit for fiscal year ended June 30, 1996	
	C. Organization Chart	
	Program	
	Organization-wide	
	D. Performance and Output Measurement Tables	
	Table A	
	Table B	
	Table C	
	E. Program Specific Requirements	

Attachment C

Federal Certifications

1.CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

(a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;

(b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and

(d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2.CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 45 CFR Part 76 by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about--

- (1) The dangers of drug abuse in the workplace;
- (2) The grantee's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs;

and

- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;

(d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central

point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted--

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
Office of Grants Management
Office of the Assistant Secretary for Management and Budget
Department of Health and Human Services
200 Independence Avenue, S.W., Room 517-D
Washington, D.C. 20201

3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the under-

signed, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children’s services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children’s services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children’s services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

Attachment D

Hawaii Child & Adolescent Service System Program (CASSP) Principles

Hawaii Child and Adolescent Service System Program

1. The system of care will be child and family centered and culturally sensitive, with the needs of the child and family determining the types and mix of services provided.
2. Access will be to a comprehensive array of services that addresses the child's physical, emotional, educational, recreational and developmental needs.
3. Family preservation and strengthening along with the promotion of physical and emotional well-being shall be the primary focus of the system of care.
4. Services will be provided within the least restrictive, most natural environment that is appropriate to individual needs.
5. Services which require the removal of a child from his/her home will be considered only when all other options have been exhausted, and services aimed at returning the child to his/her family or other permanent placement are an integral consideration at the time of removal.
6. The system of care will include effective mechanisms to ensure that services are delivered in a coordinated and therapeutic manner, and that each child can move throughout the system in accordance with his/her changing needs, regardless of points of entry.
7. Families or surrogate families will be full participants in all aspects of the planning and delivery of services.
8. As children reach maturity, they will be full participants in all aspects of the planning and delivery of services.
9. Early identification of social, emotional, physical and educational needs will be promoted in order to enhance the likelihood of successful early interventions and lessen the need for more intensive and restrictive services.
10. The rights of children will be protected and effective advocacy efforts for children will be promoted.

Developed by the Hawaii Task Force, 1993.

(Adapted from Stroul, Beth A. and Robert M. Friedman, R.M. (1986) *A System of Care for Children & Youth with Severe Emotional Disturbances*. (Revised Edition) Washington, DC: Georgetown University Child Development Center, CASSP Technical Assistance Center.)

Attachment E

Guidelines for Organization-Wide Audits

GUIDELINES FOR ORGANIZATION-WIDE AUDITS

Pursuant to the Single Audit Act Amendments of 1996, Public Law 104-156, the STATE is requiring A-133 audits from subrecipients who expend \$500,000 or more of federal funds in a year.

The audits must be conducted in accordance with the following standards:

1. Generally accepted auditing standards issued by the American Institute of Certified Public Accountants.
2. Government Auditing Standards issued by the Comptroller General of the United States.
3. Office of Management and Budget (OMB) Circular A-133, "Audits of states, local governments, and nonprofit organizations," dated June 30, 1997.

The audits must be conducted on an annual basis and submitted to the STATE within nine (9) months after the end of the audit period.

The audit report shall include the following:

1. The organization-wide financial statements prepared in accordance with generally accepted accounting principles or other comprehensive basis of accounting.
2. A schedule of federal financial assistance in the format prescribed by the OMB Circular A-133.
3. A schedule of the STATE's federal and state contracts received by the organization for the period covered by the financial statements. This schedule shall contain the:
 - a. ASO Log Number.
 - b. Contract amount for the contract period.
 - c. Expenditures charged against the contract during the current audit period and the prior audit periods for expenditure-reimbursement contracts; or amounts of units billed against the contract during the current audit period and the prior audit periods for unit-cost contracts since inception.
4. Auditor's reports on the organization's financial statements, supplemental schedule of expenditures of federal awards, and supplemental schedule of federal and state contracts received by the organization from the STATE.
5. Report on Compliance and on Internal Control over Financial Reporting Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards.
6. Report on Compliance with Requirements Applicable to each Major Program and Internal Control over Compliance in Accordance with OMB Circular A-133.
7. Schedule of findings and questioned costs in the format prescribed in OMB Circular A-133.
8. Comments regarding prior year's findings.

Attachment F

Form W-9

Request for Taxpayer Identification Number

See link below to download official form W-9

<http://www.irs.gov/>